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The Cone Photoreceptor Mosaic in Aniridia: Within-Family Phenotype-Genotype Discordance

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Running head: The cone photoreceptor mosaic in aniridia

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This article contains additional online-only material. The following should appear online-only: Figure S1

1 **Abstract**

2 **Purpose:** Investigate *in-vivo* cone photoreceptor structure in familial aniridia caused by a
3 deletion in the *PAX6* gene to elucidate the complexity of between-individual variation in
4 retinal phenotype.

5 **Design:** Descriptive case-control study

6 **Participants:** Eight persons with congenital aniridia (5 males; aged 40–66) from one family
7 and 33 normal controls (14 males, aged 14–69 yrs), including seven unaffected family
8 members (3 males; aged 14–53yrs).

9 **Methods:** DNA was isolated from saliva samples and used in PCR to amplify and sequence
10 exons and intron/exon junctions of the *PAX6* gene. Fluorescent DNA sequencing was
11 performed on both DNA strands. High-resolution retinal images were acquired with
12 Heidelberg Spectralis (SD-OCT2) and Adaptive optics scanning light ophthalmoscopy
13 (AOSLO). Cone density (CD; cones/mm²) and mosaic regularity were estimated along nasal-
14 temporal meridians within the central 0–5° eccentricity. Horizontal SD-OCT line scans were
15 segmented to analyze severity of foveal hypoplasia and measure retinal layer thicknesses.

16 **Main Outcomes and Measures:** Within-family variability in macular retinal layer thicknesses,
17 cone photoreceptor density and mosaic regularity in aniridia compared with normal
18 controls.

19 **Results:** DNA sequencing revealed a known *PAX6* mutation (IV2-2delA). Those with aniridia
20 had variable iris phenotype ranging from almost normal appearance to no iris. Four with
21 aniridia had FH grade 2, two had grade 3 and one had grade 4. Visual acuity ranged from

22 0.20–0.86 logMAR. AOSLO images were acquired of five family members with aniridia.
23 Foveal CD varied between 19899 and 55128 cones/mm² with overlap between the foveal
24 hypoplasia grades. CD was ≥ 3 SD below the normal mean within 0.5°, ≥ 2 SD below the
25 normal mean at 0.5°–4°, and >1 SD below the normal mean at 5° retinal eccentricity.

26 **Conclusions:** The results show considerable variability in foveal development within a family
27 carrying the same *PAX6* mutation. This, together with the structural and functional variability
28 within each grade of foveal hypoplasia, underlines the importance of advancing knowledge
29 about retinal cellular phenotype in aniridia.

30 Congenital aniridia usually causes significant visual impairment. Bilateral hypoplasia of iris
31 and fovea are characteristic findings. Persons with aniridia are at high risk of developing
32 early onset cataract, keratopathy and glaucoma. Based on population studies in Norway,
33 Sweden, Denmark and the US, the prevalence of aniridia is estimated to be 1:64000–
34 1:96000.^{1,2} Heterozygous mutations in *paired box gene 6 (PAX6)*³ are primarily responsible
35 for aniridia. Most known mutations introduce premature termination codons into the PAX6
36 open reading frame that lead to haploinsufficiency of the PAX6 transcription factor, either by
37 mutations within the *PAX6* gene, its regulatory elements or more rarely by chromosomal
38 deletions of band 11p13.^{4,5} Inheritance is typically autosomal dominant.⁶ The phenotypic
39 spectrum associated with *PAX6* mutations is extensive and aniridia is associated with
40 considerable variability in phenotype and severity.⁷

41 The *PAX6* gene is a key regulator for normal eye development and interacts with many other
42 genes and proteins. A network of transcription factors including PAX6 is expressed in retinal
43 progenitor cells to control differentiation of multiple early- (i.e. retinal ganglion cells, cone
44 photoreceptors) and late-born (glycinergic amacrine cells, bipolar cells) retinal nerve cell
45 types.⁸ Normal foveal development is characterized by formation of a foveal avascular zone
46 (FAZ) before the foveal depression is formed and displacement of the inner retinal layers.
47 Postnatal elongation and migration of cones toward the center of the fovea leads to a
48 pronounced increase in cone photoreceptor density.⁹⁻¹¹ Aniridia associated mutations within
49 the *PAX6* gene are known to alter retinal cell composition and subsequent post-receptoral
50 organization including arrested formation of the fovea.¹²⁻¹⁴ It is not known if the degree of
51 *PAX6* haploinsufficiency correlates with the degree of foveal hypoplasia¹⁵ and impaired
52 migration of cone photoreceptors towards the fovea center.⁹⁻¹¹

53 Few studies have used high-resolution imaging to investigate retinal layer structure in
54 aniridia,^{13, 16} and none have investigated the cone photoreceptor mosaic. Here, spectral
55 domain optical coherence tomography (SD-OCT) and adaptive optics scanning light
56 ophthalmoscopy (AOSLO) were combined to advance the understanding of foveal hypoplasia
57 in familial aniridia through *in vivo* examinations of retinal layers and photoreceptors at
58 single-cell resolution. This allowed detailed evaluation of retinal phenotypic variability within
59 a family with aniridia.

60

61 **Methods**

62 **Participants**

63 Eight persons from one family with congenital aniridia (5 males, aged 40–66 yrs) and 33
64 normal controls (14 males, aged 14–69 yrs), including seven unaffected family members (3
65 males, aged 14–53 yrs), were recruited through the Norwegian Association of Aniridia, via
66 family members, or through the National Centre for Optics, Vision and Eye Care, University
67 of South-Eastern Norway. The study was conducted in accordance with the principles in the
68 Helsinki Declaration and approved by the Regional Committee for Medical and Health
69 Research Ethics (Southern Norway Regional Health Authority). All participants and/or their
70 guardians gave written informed consent after the purpose, procedures and possible
71 consequences of the study were explained.

72

73 Genetic Analysis

74 DNA was extracted from saliva samples collected with the Oragene-DNA Self-Collection Kit,
75 OG-500 (DNA Genotek Inc., Ottawa, ON, Canada) from all 41 participants. The *PAX6* gene
76 was amplified and exon and intron/exon junctions sequenced using PCR primers and
77 conditions described previously.¹⁷ Fluorescent DNA sequencing was performed on both DNA
78 strands. One family member with aniridia and three unaffected family members who gave
79 saliva samples for genotyping were unable to participate in any further studies.

80

81 Clinical Assessment

82 Seven of eight family members with aniridia, four unaffected family members and 26 non-
83 related normal controls underwent an eye examination including refraction, evaluation of
84 anterior and posterior segment and ocular biometry (IOL Master, Carl Zeiss Meditec AG,
85 Jena, Germany), as well as optical coherence tomography (details below). Visual acuity
86 (logMAR) was measured with a digital high-contrast chart at 6 meters (TestChart 2000,
87 Thompson Software Solutions, London, UK). The Lens Opacities Classification System III
88 (LOCS III)¹⁸ was used to evaluate the clarity of the lens. Aniridia associated keratopathy (AAK)
89 was graded based on a previously described grading scale.¹⁹

90

91 Optical Coherence Tomography

92 High resolution volumetric SD-OCT images were acquired with the Heidelberg Spectralis
93 OCT2 (Heidelberg Engineering GmbH, Heidelberg; Germany). The scans were 30x10 degrees,
94 consisted of 49 B-scans (1536 A-scans/ B-scan) and were centred at the assumed foveal
95 center. To improve signal-to-noise ratio and compensate for eye motion (TruTrack™,
96 Heidelberg Engineering), 20 B-scans (frames) were averaged during acquisition. One eye, for
97 which macular volumes could not be obtained because of severe nystagmus, was imaged
98 using horizontal line scans with a nominal scan length of 30 degrees. Multiple scans were
99 acquired in the foveal region to identify signs of foveal specialization.^{9, 20, 21} The lateral scale
100 of all OCT scans was corrected for retinal magnification factor based on individual ocular
101 biometry, calculated with optical design software (Zemax EE, Radiant Zemax, Redmond, WA)
102 using the Liou and Brennan eye model.²²

103 SD-OCT-derived measures were obtained semi-automatically with custom software. An
104 automatic active contour method,²³ using the Python implementation by van der Walt *et*
105 *al.*,²⁴ was used to first segment the anterior edge at the inner limiting membrane (ILM) in a
106 similar fashion as described by Mishra *et al.*²⁵ Successive layers were then segmented at the
107 posterior boundary of the outer plexiform layer (OPL), center of the external limiting
108 membrane (ELM), ellipsoid zone (EZ) and interdigitation zone (IZ), and the posterior
109 boundary RPE-Bruch's Membrane (RPE-BrM) band, using the contour of the previous layer
110 as a seed. Foveal center was defined as the section with maximum outer segment length (EZ
111 to IZ) and minimum foveal thickness (ILM to RPE-BrM) within the foveal pit. When no pit was
112 present, the maximum lengthening of the photoreceptor outer segments (EZ to IZ) or/and

113 widening of the outer nuclear layer (OPL to ELM) was used to identify the expected foveal
114 center. The B-scan through the defined foveal center was used for analysis.

115 The thickness values for each segmented layer were extracted and averaged at 5-pixel
116 ($\approx 28.3 \mu\text{m}$) increments from the expected foveal center out to 10 degrees temporal and
117 nasal eccentricity and thickness of each retinal layer was calculated. Definition of the retinal
118 layers are presented in Figure 1A. Outer nuclear layer (ONL) and Henles fiber layer (HFL) was
119 defined as one layer because the HFL could not easily be differentiated from the ONL
120 without capturing directional OCT.²⁶ The relative foveal-to-perifoveal lengthening of the
121 photoreceptor OS, IS and ONL+HFL was calculated by dividing their foveal thickness value by
122 the average of their thickness at 5 degrees nasal and temporal to the fovea.

123

124 Adaptive Optics Scanning Light Ophthalmoscopy

125 The Kongsberg AOSLO^{27, 28} was used to obtain images of the photoreceptor mosaic in five
126 participants with aniridia (4 males, aged 40–66 yrs), and 30 age-matched normal controls
127 including four unaffected family members. Ocular media opacities and nystagmus precluded
128 imaging of the photoreceptor mosaic in participant 5114 and 5135. Before imaging, one
129 drop of cyclopentolate 1% or tropicamide 0.5% was used to dilate the pupil and control
130 accommodation in participants without severe iris hypoplasia. Confocal²⁹ and non-confocal
131 split-detector³⁰ images with 1° fields of view were acquired simultaneously within one
132 degree of the foveal location, and along the temporal and nasal meridians out to 5°
133 eccentricity sampled at 0.5° or 1°-intervals. Individual raw image sequences contained 150
134 frames. Image analyses and registration was performed as described previously.²⁸ Registered

135 images from each retinal location were manually stitched together into a montage aligned
136 with the corresponding en-face infrared image acquired by the OCT using selected blood
137 vessel landmarks. These steps ensured that the AOSLO images were correctly scaled and
138 positioned irrespective of the individual subject's fixation skill and uncontrolled eye
139 movements. The image montages were cross-referenced with the OCT scans to confirm that
140 the location of the foveal center corresponded in both modalities. This allowed us to
141 estimate the location of the foveal center in the AOSLO montage also when the most central
142 cones could not be reliably resolved.

143

144 Cone Density and Mosaic Regularity

145 Individual cones in the confocal images were identified via a semi-automatic algorithm as
146 described previously.^{28, 31} Non-confocal split-detection images were used to disambiguate
147 cones from rods in the perifovea. Cone density was estimated over 50×50 μm sampling
148 windows at the foveal center out to 5° eccentricity along the horizontal and nasal meridian.
149 Voronoi analysis³² was performed to measure inter cell distance (ICD; the average distance
150 between a cone and all of its neighbors) and the average distance between each cone and its
151 nearest neighbor (NND) for all cones whose Voronoi cell was completely contained within
152 the sampling window.³³ The percentage of 6-sided Voronoi cells was calculated to
153 characterize the regularity of the photoreceptor mosaic. The mean (μ) and SD (σ) for each
154 metric was calculated to find the coefficient of variation ($CV = \sigma/\mu$) to indicate the overall
155 regularity of the ICD and NND independent of density and distance between the cones. Each
156 participant's dominant eye was used for OCT and AOSLO analysis.

157

158 **Statistics**

159 QQ-plots, histograms and the Shapiro-Wilk test were used to assess normality of the
160 variables. Means \pm SD are reported for the normal control data and full range for the aniridia
161 data. Wilcoxon rank sum test (equivalent to the Mann-Whitney U test) was applied for
162 independent samples. Correlations were assessed with Spearman correlation coefficients.
163 Linear regression analysis was performed to investigate age-related changes in cone density
164 for the normal controls. The significance level was set to $P \leq 0.05$. Statistical analyses were
165 performed using R statistical software, version 3.5.1, including the package ggplot2.

166

167 **Intra and inter-observer reliability**

168 Intraclass correlation coefficients (ICC)³⁴ were computed to assess the intra and inter-rater
169 reliability associated with cone density estimates in images of the foveal, para- and
170 perifoveal cone mosaic in the participants with aniridia. The cone density measurements
171 were repeated by two observers (authors HRP and RCB) at four retinal locations (foveal
172 center, 1°, 3° and 5° retinal eccentricity) from each of the five participants with aniridia (total
173 of 20 images). Agreement was assessed between the two observers, as well as between two
174 measurements made by the same observer (author HRP). Analysis were performed using R
175 statistical software, version 3.5.1, including the package “irr”. A one-way model, where only
176 the subjects are considered as random effects, was considered appropriate.

177

178 **Results**

179 Clinical Findings and Genetics

180 DNA sequencing revealed an IV2-2delA mutation of the *PAX6* gene in eight of the family
181 members who were previously diagnosed with aniridia. This mutation is known to cause
182 aniridia and has been reported in the Human *PAX6* Allelic Variant Database (Leiden Open
183 Variation Database, LOVD).³ It is a deletion of the -2 nucleotide in intron 2, disrupting
184 the canonical splice site sequence at the 3' splice acceptor site upstream of exon 2. This
185 mutation will affect splicing, most often resulting in exon skipping. However, this is a non-
186 coding exon and the effect on the protein translation is not known, but may lead to loss of
187 functional protein.³⁵ No *PAX6* abnormality was identified in the seven unaffected family
188 members, nor in any of the other normal controls. The inheritance pattern of the mutation is
189 shown in Figure S1(available as Supplemental material).

190 Table 1 shows a summary of clinical phenotype in the seven family members with aniridia
191 who underwent an eye examination (marked with * in Figure S1). Total or near total iris
192 hypoplasia, or a thin rim of iris were observed in six of the family members. Participant 5199
193 had, at first glance, a normal iris but was, on closer inspection, unusually thin and bright
194 grey/pale blue and the pupil decentered nasally in both eyes (Figure 2A).

195 The normal controls, including the four unaffected family members, were healthy with no
196 reported systemic disease or ocular abnormalities and were found to be free of eye disease
197 upon clinical assessment including fundus examination. Visual acuity was 0.10 logMAR or
198 better.

199

200 Retinal Layer Thicknesses and Foveal Cone Specialization

201 Foveal hypoplasia was observed in all participants with aniridia; four had grade 2 (all males),
202 two had grade 3 (both females) and one had grade 4 (female). All, as per grading definition,
203 lacked excavation of inner retinal layers, but ONL and cone outer segment thickness in the
204 fovea varied considerably between and within each foveal hypoplasia grade (Figure 1).

205 Total foveal thickness (Figure 3A) ranged from 302.1–357.8 μm , compared with normal
206 controls who had a mean \pm SD foveal thickness of $230.3 \pm 18.9 \mu\text{m}$, $P < 0.001$. The foveal
207 ONL+HFL thickness (Figure 3B) in aniridia ranged from 49.7–99.2 μm and was significantly
208 thinner than in normal controls (mean $106.3 \pm 14.8 \mu\text{m}$, $P < 0.001$). Those with aniridia had
209 shorter foveal cone outer segments (range 22.2–34.9 μm) compared with normal controls
210 (mean $44.1 \pm 3.3 \mu\text{m}$, $P < 0.001$; Figure 3C). Relative lengthening (foveal:perifoveal length
211 ratio) of the OS were, however, within normal mean \pm 2SD for three of them, consistent with
212 specialization of the foveal cones. The ONL+HFL and OS foveal:perifoveal length ratio show a
213 clear relationship with foveal hypoplasia grade (Figure 3D). Those with aniridia had shorter IS
214 length (range 26.9–34.6 vs mean \pm SD $33.6 \pm 2.4 \mu\text{m}$) than the normal controls, but the
215 difference was not significant ($P = 0.08$).

216

217 Retinal Cone Photoreceptor Density and Mosaic Regularity

218 Images of the foveal cone mosaic for those with aniridia and a normal control are shown in
219 Figure 4 (*top*) together with confocal and split-detection images of para- and perifovea for
220 one participant with aniridia (*bottom*). Peak cone density in aniridia ranged from 19899–
221 55128 (38280 ± 14813) cones/ mm^2 and was significantly reduced compared with normal

222 controls 91318–162282 (122231 ± 21572 , $n = 13$) cones/mm² ($P < 0.001$). Peak cone density
223 was not estimated in the normal controls where cones within the central $50 \times 50 \mu\text{m}$ could
224 not be reliably resolved.

225 The cone density topography among those with aniridia was similar in shape as seen in the
226 normal controls, but with a flatter peak and reduced cone density at all retinal eccentricities
227 within the central 10 degrees (Figure 5). Cone density varied between family members with
228 aniridia and was ≥ 3 SD below the normal mean within 0.5° , ≥ 2 SD below the normal mean at
229 0.5° – 4° , and >1 SD below the normal mean at 5° retinal eccentricity. Estimates of cone
230 density in the participants with aniridia showed a high intra-observer (ICC 0.991; 95% CI
231 0.977–0.996) and inter-observer agreement (ICC 0.989; 95% CI 0.972–0.996).

232 Cone mosaic regularity was measured by calculating the percentage of cones with six
233 Voronoi cell neighbors. Those with aniridia had lower mean percentage Voronoi cells with six
234 sides in the parafovea compared with normal controls ($45.9 \pm 10.0\%$ and $55.1 \pm 9.9\%$,
235 respectively, $P < 0.001$), but not in the fovea (49.7 (35.3–67.0) % vs $52.0 \pm 7.4\%$; Figure 6A).
236 The eccentricity with comparable cone density to peak density in aniridia varies in normal
237 controls, but for some it is at about 2.5° eccentricity, and the average \pm SD percentage of six
238 sided cells at this location is the same as that of the fovea: $52.1 \pm 7.4\%$.

239

240 There was no difference in coefficients of variation in ICD at the foveal center between
241 participants with aniridia (CV range = 0.091–0.144) and normal controls (CV mean \pm SD =
242 0.107 ± 0.011 , $P = 0.57$), however, overall variability in ICD was greater in aniridia ($0.107 \pm$
243 0.022) than in normal retinas (0.086 ± 0.019 , $P < 0.001$). This difference in coefficient of

244 variation was most evident in the parafovea (1–3° eccentricity; Figure 6B). The same trend
245 was also observed in NND variability (Figure 6C).

246

247 Visual Function and Foveal Cone Specialization

248 The three females with FH grade 3–4 had the shortest OS (Figure 3C, filled symbols) and
249 poorest VA, while all the four males had FH grade 2, longer OS and better VA. The two males
250 with the best VA and red-green color sensitivity¹⁴ had highest cone density, thickest ONL and
251 longest OS (Figure 7). There was, however, an overlap in range of VA and cone density within
252 the OCT grades (Table1). The oldest participant with aniridia, a male, had the lowest foveal
253 cone density of all with aniridia, but his foveal cone outer segments were clearly elongated.
254 His OS length and foveal:perifoveal OS ratio were similar to the other participants with FH
255 grade 2 (Figure 3B), but he had a thinner ONL (Figure 3C). Moreover, there was evidence for
256 cone packing towards the foveal center in the female with FH grade 4 even if no ONL or OS
257 lengthening was observed on OCT images.

258

259 Sex Differences

260 The phenotype observed in the females with aniridia in this family were more severe than in
261 the males; their degree of foveal hypoplasia was more severe; they had been diagnosed with
262 glaucoma and two of the females had both optic nerve hypoplasia (ONH) and nystagmus
263 (Table 1). None of the males had glaucoma, ONH or nystagmus. A sex difference was also
264 observed between male and female controls with males having significantly thicker central
265 retina (241.1 ± 21.1 vs 223.1 ± 13.6 μm , $P = 0.018$).

267 **Discussion**

268 This study shows the extent of phenotypic variability in familial aniridia through detailed *in-*
269 *vivo* evaluation of iris and retinal structures of individuals carrying the same *PAX6* mutation.
270 Compared to normal controls, greater central retinal thickness, shorter outer segments and
271 thinner outer nuclear layer were observed in persons with aniridia. Cone density was
272 reduced within the central 10 degrees, and the parafoveal cone mosaic was less regular in
273 aniridia than normal retinas. In this particular family with aniridia, males were less affected
274 than females. In addition to differences in severity of foveal hypoplasia, this difference was
275 also evident in degree of iris hypoplasia, with one male having an almost normal iris,
276 whereas all females had complete or nearly complete iris hypoplasia. Importantly, the poor
277 association between iris and foveal hypoplasia underscores the importance of a thorough
278 ocular examination for all members of families with aniridia, even those who initially appear
279 unaffected.

280 The IVS2-delA mutation, found in all eight participants with aniridia, affects splicing in the 5'
281 untranslated region of the *PAX6* gene, probably excluding exon 3, but the effect on protein
282 translation is unknown.^{35, 36} The mutation, reported in the *PAX6* Allelic Variant Database,
283 segregates with aniridia in a UK family and two sporadic cases in Russia and Germany.³
284 Here, it was associated with a thinner outer nuclear layer and lower cone density than
285 normal at all the measured eccentricities. Thus, the *PAX6* haploinsufficiency associated with
286 this mutation results in a hypocellular retina, as a consequence of associated loss of
287 propagation of retinal progenitor cells (RPC) and differentiation into different cell types early
288 in development.³⁷⁻³⁹ The lack of a foveal pit in FH grade 2 or more implies that retinal

289 development is arrested before the foveal pit normally starts to form, which is at mid-
290 gestation (25–28 fetal weeks).^{11, 21} Indeed, PAX6 is thought to play an indirect role on
291 molecular markers that are normally expressed in retinal ganglion cells to prevent vascular
292 ingrowth.¹¹ Foveal pit formation depends on the presence of a foveal avascular zone (FAZ) as
293 well as an adequate proportion of midget-type ganglion cells to allow displacement of inner
294 retinal layers.^{10, 11}

295 The observed thinner outer retinal layers in those with aniridia, as compared with normal
296 controls, is in line with impaired cone specialization. The foveal:perifoveal ratio (within
297 normal range) of the ONL thickness and OS length (Figure 3D), however, suggests that some
298 degree of foveal cone migration and specialization must have occurred even in persons with
299 FH grade 2 and 3. This is further evidenced by similar foveal mosaic regularity in aniridia and
300 controls, even if foveal cone density is significantly lower in aniridia. The observation
301 suggests that cone packing has occurred independently of foveal pit formation which is in
302 line with what Wilk *et al.*⁴⁰ propose in albinism; a foveal pit may not be needed for further
303 cone packing, but plays a facilitatory role. The parafoveal cone mosaic was less regular in
304 aniridia compared with controls, more akin to that observed for cones at greater
305 eccentricities in normal retinas.

306 The degree of impaired migration and elongation of cones varied between FH grades as
307 expected, but important differences were also observed within each FH grade. This may be a
308 developmental difference related to the degree of vascularity in the deep foveal capillary
309 plexus. This has been reported to contribute to the inhibition of outer retinal
310 specialization.⁴¹ Development of retinal vasculature in aniridia warrants further
311 investigation. While the total number of cones in the retina is expected to remain constant

312 after mid-gestation,²¹ early migration of cones towards the foveal center will increase the
313 foveal cone density to a certain degree.⁴² This initial cone migration may be responsible for
314 the cone packing seen in the aniridia patients with lowest peak cone density. The visible
315 foveal cone OS elongation and/or thickening of the ONL observed here in FH grade 2- 3, on
316 the other hand, suggest that postnatal elongation and migration of cones have occurred in
317 aniridia, but to a lesser degree than in normal controls. The observed cone packing towards
318 the foveal center without ONL or OS lengthening in FH grade 4 may describe a threshold at
319 which increased density will elongate cone OS. We have previously reported an association
320 between foveal hypoplasia grade and red-green color discrimination in aniridia.¹⁴ Here,
321 higher foveal cone density was observed in those with the highest red-green sensitivity
322 (lowest threshold) (Table 1). Differences in retinal ganglion cell (RGC) density and/or cone-
323 RGC pathways⁴³ are factors that may explain variation in visual function between persons
324 with the same grade of foveal hypoplasia and the variable relationship between CD, VA and
325 color vision.

326 The two retinas with highest and the one with lowest CD in the aniridia group were both
327 graded as FH grade 2. The age of the participant with lowest cone density may suggest an
328 age-related decline in CD. A slight, but significant age-related decline in CD was also
329 observed at 0.5° and 1° for the normal controls ($R^2 = 0.30$, $P = 0.001$ and $R^2 = 0.26$, $P = 0.002$,
330 respectively); only three of the normal participants were older than 60 years. Pre-senile
331 aging may play a role in aniridia together with additional factors (like increased vulnerability
332 to retinal diseases due to the low redundancy of macular cones in foveal hypoplasia and
333 possible risk for phototoxic damage). Subtle retinal changes and poorer image quality may
334 also decrease the number of reflective cones that are identified in confocal AOSLO⁴⁴ and

335 thus underestimate cone density. Non-confocal images were unfortunately not available at
336 this location for this participant.

337 In most cases, the phenotype in aniridia may be explained by the loss of one functional copy
338 of the *PAX6* gene (haploinsufficiency), which provides an insufficient level of PAX6 protein.⁴
339 ⁴⁵ Abnormal mRNA is degraded through nonsense-mediated decay, which prevents
340 accumulation of truncated protein products within cells.⁴⁶ It is not clear how
341 haploinsufficiency can lead to wide variation in phenotype and severity within a family.
342 However, the complex gene expression associated with PAX6 that is regulated at multiple
343 levels during different processes of eye development, may contribute to large phenotypic
344 variability.⁴⁷⁻⁴⁹ Difference in genetic background, transcriptional and epigenetic regulation
345 may alter the function of the PAX6 protein further, in turn affecting co-activators, co-
346 repressors and regulation of downstream targets.^{47, 50, 51} In some cases, competition for
347 DNA-binding between truncated PAX6 proteins and wild-type PAX6 proteins possibly results
348 in phenotypic variability, so-called dominant-negative effects.⁵² It is not known if mutations
349 that lead to abnormal mRNA splicing in the 5' UTR may cause this effect. In conclusion,
350 quantitative analysis of cone elongation and packing within the macular area including the
351 fovea allowed for a more detailed evaluation of retinal phenotypic variability in aniridia than
352 reported previously. The analysis revealed decreased number of cones within the macular
353 area and considerable variability in foveal development within a family with aniridia carrying
354 the same genetic *PAX6* mutation. This, together with the structural and functional variability
355 within each grade of foveal hypoplasia, underlines the importance of *in vivo* examinations of
356 retinal layers and photoreceptors at single-cell resolution. Such detailed examinations are
357 essential for improving our understanding of underlying pathophysiology and retinal

358 development in different aniridia *PAX6* mutations. This, to aid clinicians and scientists alike
359 in determining prognosis, rehabilitation, and the potential for gene therapy and stem cell
360 replacement strategies.

361

362 **Data Availability**

363 Access to relevant datasets are available at usn.figshare.com

364 [<https://doi.org/10.23642/usn.7605887>].

365

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- 492
- 493

494 Figure legends

495 Figure 1: SD-OCT images of different degrees of foveal hypoplasia.

496 (A) Horizontal transfoveal OCT scan of a normal healthy 23 year old including a graphical
497 illustration of the definition of the segmented retinal layers used in B-I. Foveal hypoplasia
498 was graded according to Thomas *et al.*¹⁵ as (B-E) grade 2, (F-G) grade 3, (H) grade 4 and (I)
499 normal. (B-H) the variation in foveal hypoplasia in 7 family members with aniridia and (I)
500 one unaffected family member. Arrows mark the location of the foveal center. This
501 corresponds to the foveal location in the cone mosaics shown in Figure 4. Scale bars = 200
502 μm .

503 Figure 2: Iris and SD-OCT images of variation in iris phenotype. (A-G) Iris in participants
504 carrying the *PAX6* mutation. (H) Iris in a normal control. (A) Iris with an almost normal
505 appearance, but a slightly decentered pupil and thinning of the iris tissue. (B & D) Thin rim of
506 remnant iris. (C & G) Almost total iris hypoplasia with only a small stump of visible remnant
507 iris. (E & F) Total absence of iris. White arrows indicate the location of the iris structure in
508 the corresponding OCT image shown in the right column

509 Figure 3: Variation in retinal layer thicknesses along the horizontal meridian in aniridia
510 compared with normal controls. (A) Total retinal thickness, (B) outer nuclear layer thickness
511 and (C) outer segment length. Black solid lines and the shaded area represent the normal
512 mean \pm 2 SD. The different filled symbols represent each of the three females with aniridia
513 and foveal hypoplasia grade 3-4 (cf. Fig. 1 F-H). Open symbols/asterisk represent the four
514 males with aniridia and FH grade 2 (cf. Fig 1 B-E). Relative lengthening of the foveal OS, IS
515 and ONL+HFL represented as the foveal:perifoveal ratio is shown in (D). The normal mean is
516 plotted as a horizontal bar with error bars representing \pm 2 SD.

517 Figure 4: Adaptive optics scanning light ophthalmoscopy confocal and split-detection images
518 show variability in foveal cone mosaic within the same aniridia *PAX6* genotype. (*Top*) Foveal
519 AOSLO images with a $0.5^\circ \times 0.5^\circ$ field of view are shown for the five family members with
520 aniridia and one unaffected family member (5159). Asterisks mark the location of the foveal
521 center for each person. (*Bottom*) Image montage from the left eye of participant 5120. Nasal
522 is toward the left and temporal is toward the right. AOSLO images 5120 and A-C
523 corresponds to the locations indicated by the yellow squares (at $\approx 1^\circ$, 3° and 5° temporal
524 eccentricity). (A_1 , B_1 , C_1) are confocal images, whereas (A_2 , B_2 , C_2) are non-confocal split
525 detection images of the same locations. Scale bars = $20 \mu\text{m}$.

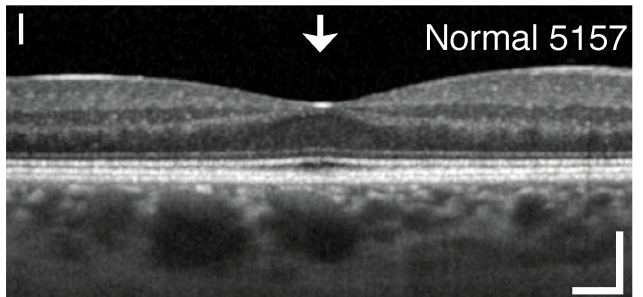
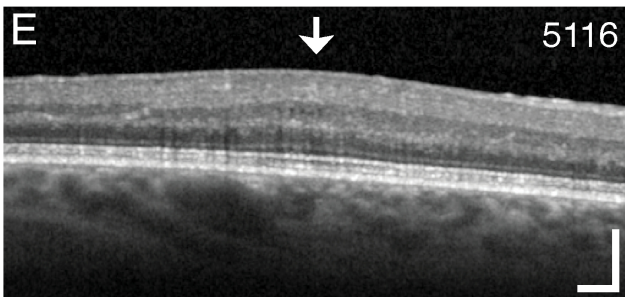
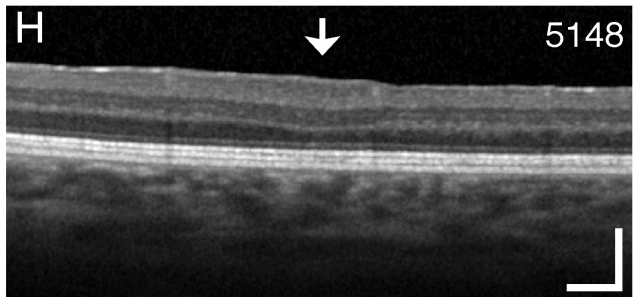
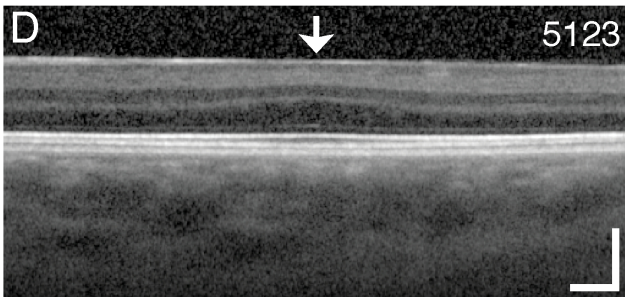
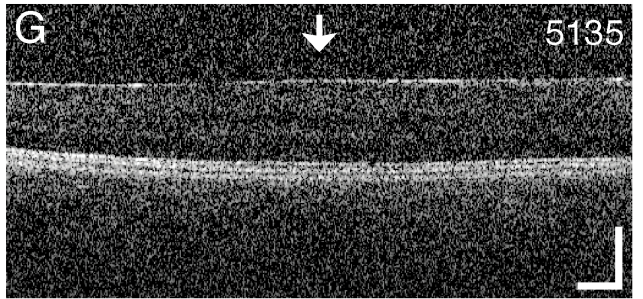
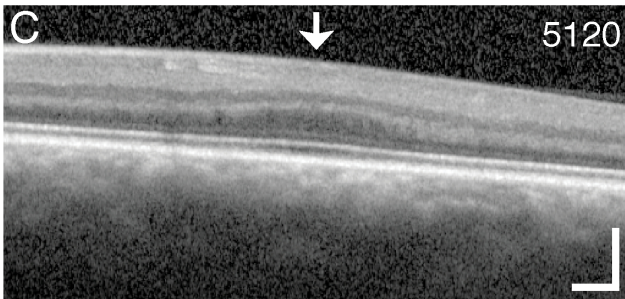
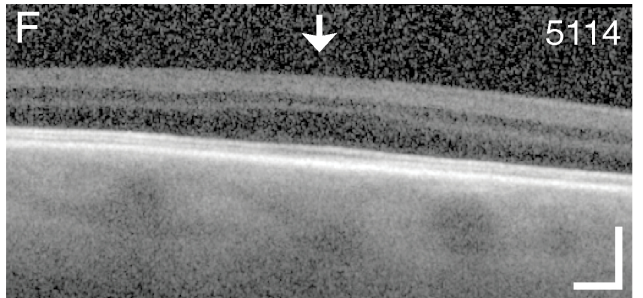
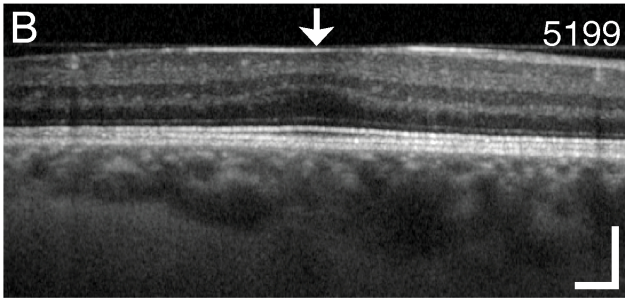
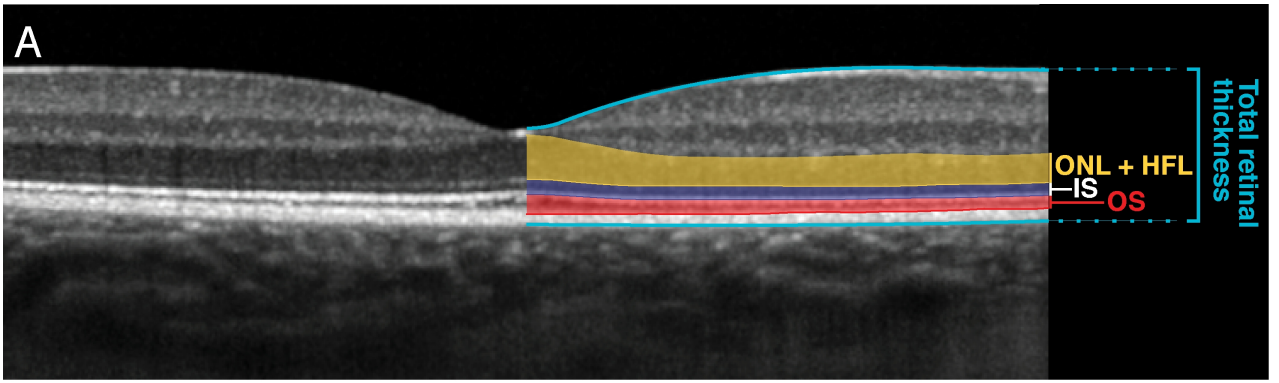
526 Figure 5: Variation in cone density as a function of retinal eccentricity along the nasal and
527 temporal meridian. (A) Five individuals with aniridia compared with mean \pm SD cone density
528 in 30 normal controls. (B-D) Cone density is re-plotted to show differences between normal
529 controls and aniridia for three different age groups.

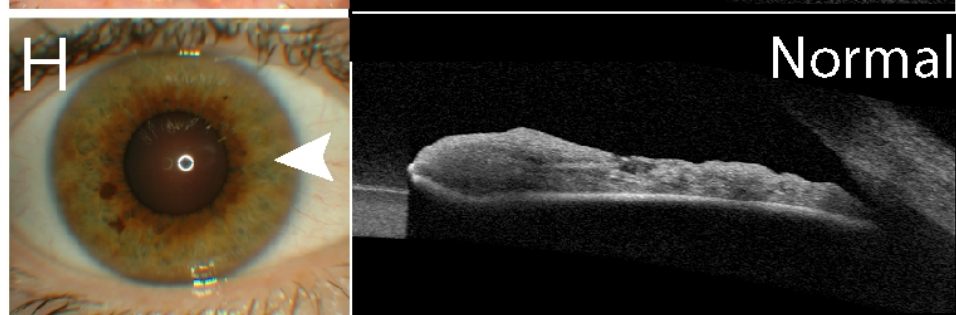
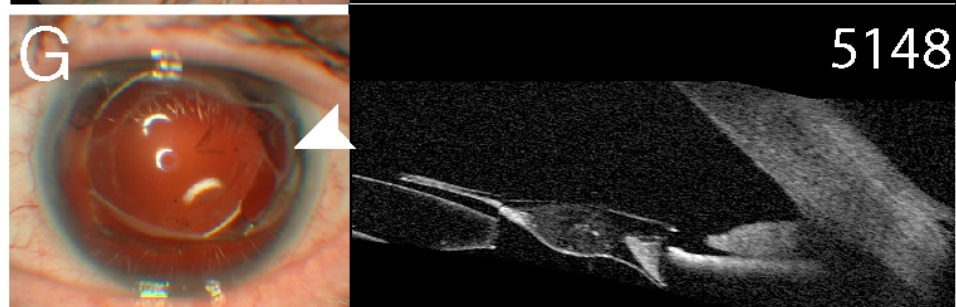
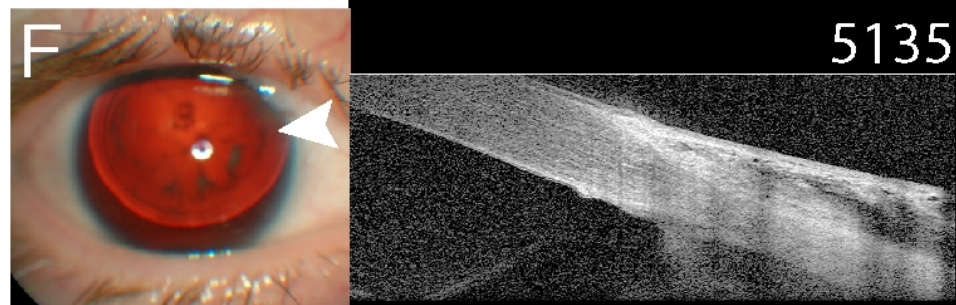
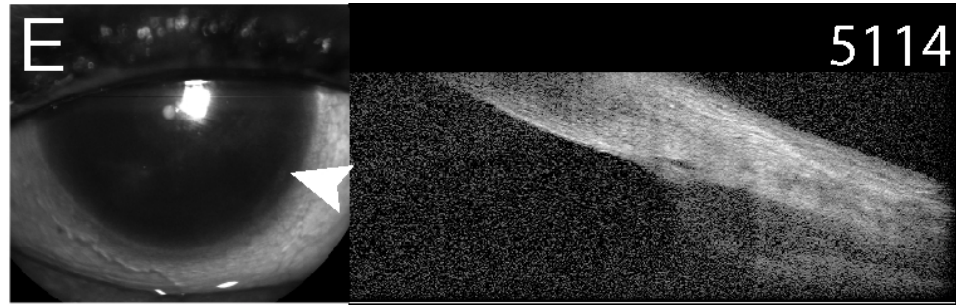
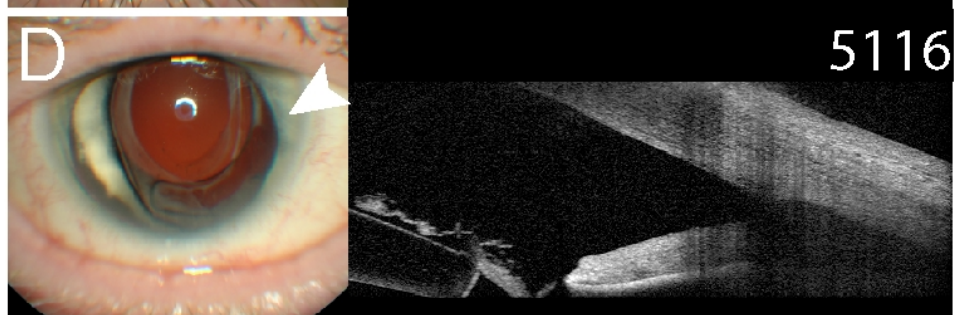
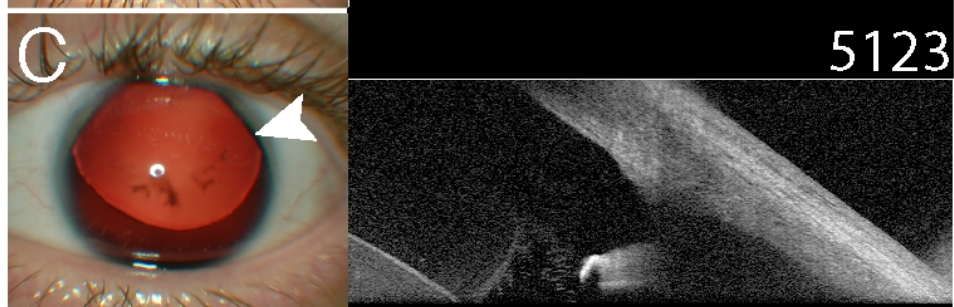
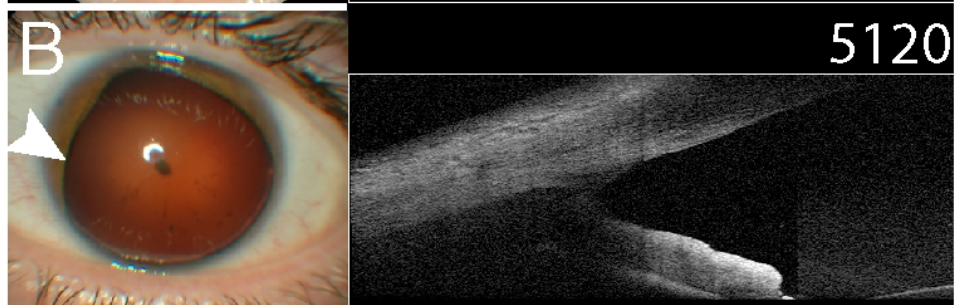
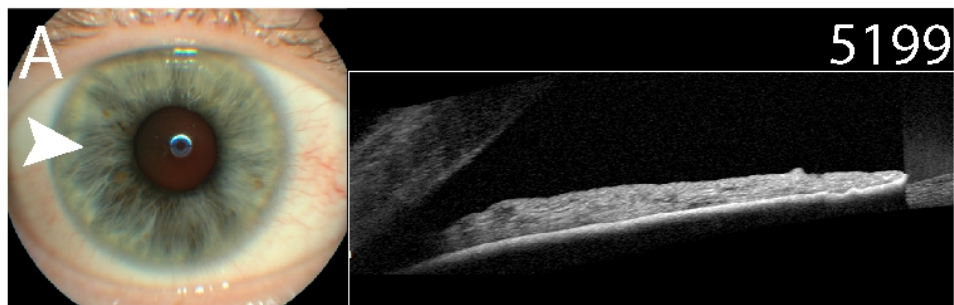
530 Figure 6: Photoreceptor mosaic regularity as a function of retinal eccentricity. (A) Percentage
531 of six-sided Voronoi cells. (B) Variability in inter cone distance. (C) Variability in nearest
532 neighbor distance. Each metric is plotted as a function of retinal eccentricity along the nasal
533 and temporal meridian for five individuals with aniridia compared with mean \pm SD of 30
534 normal controls. The variability in ICD and NND were calculated as coefficient of variation
535 ($CV = \sigma/\mu$).

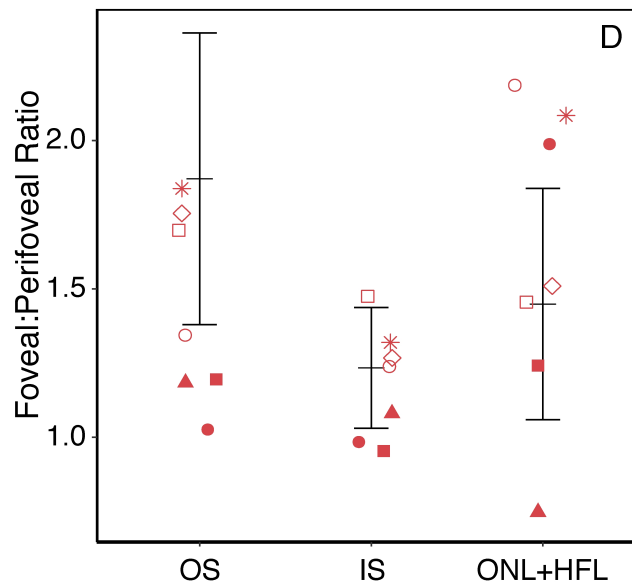
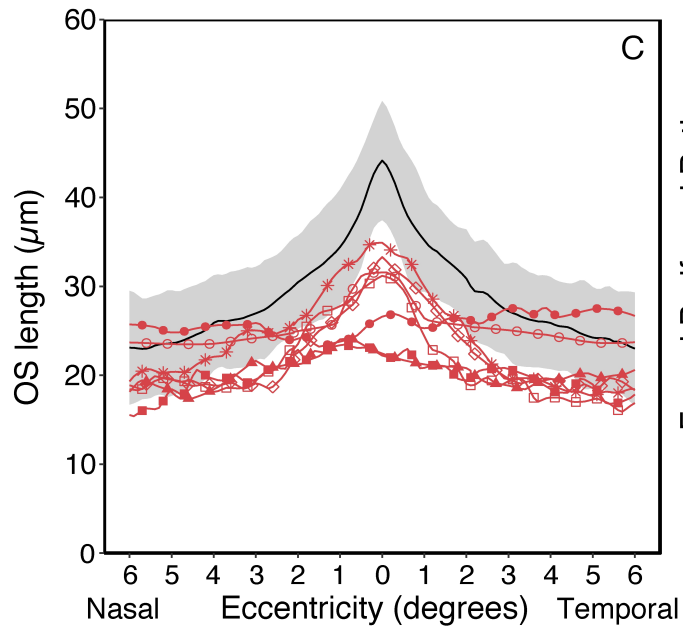
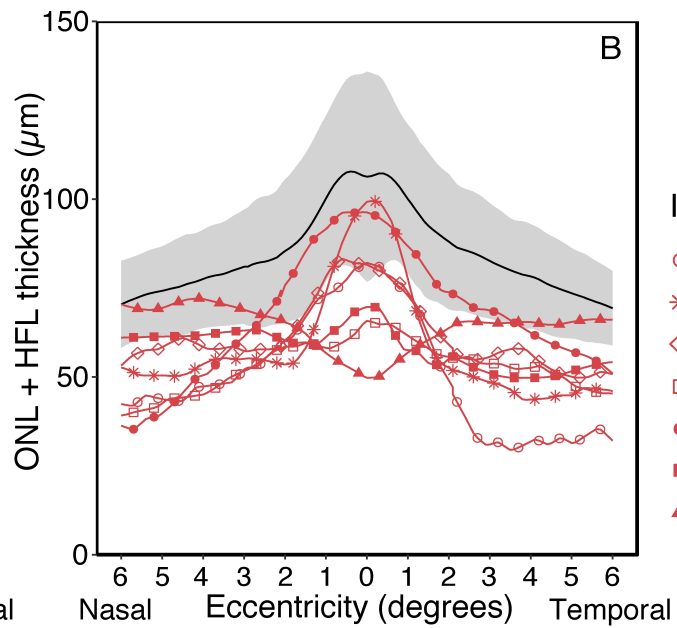
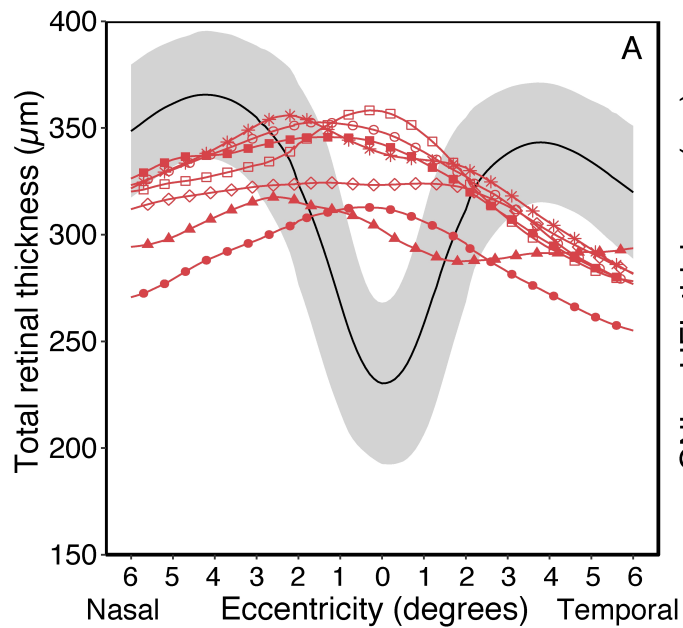
536 Figure 7: Relationship between foveal cone density and cone outer segment elongation.
537 Peak cone density is plotted as a function of OS length. The different red symbols represent
538 participants with aniridia and filled black circles are normal controls.

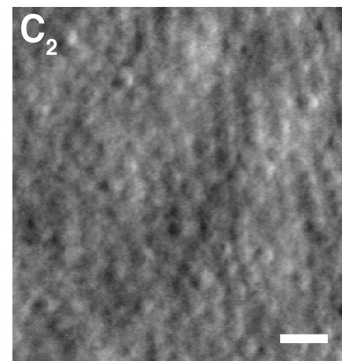
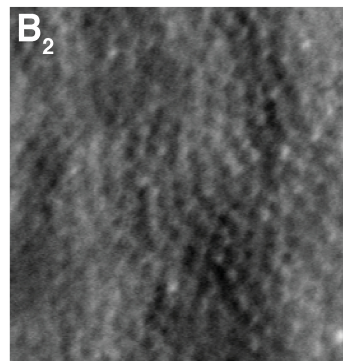
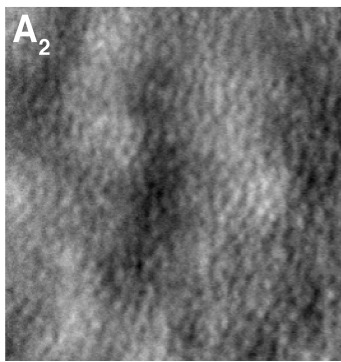
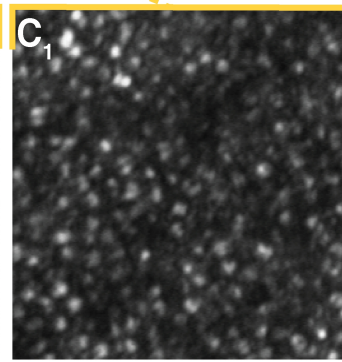
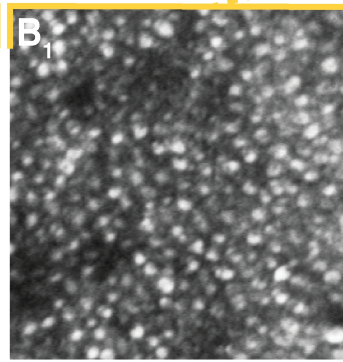
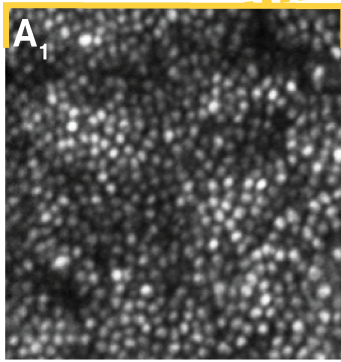
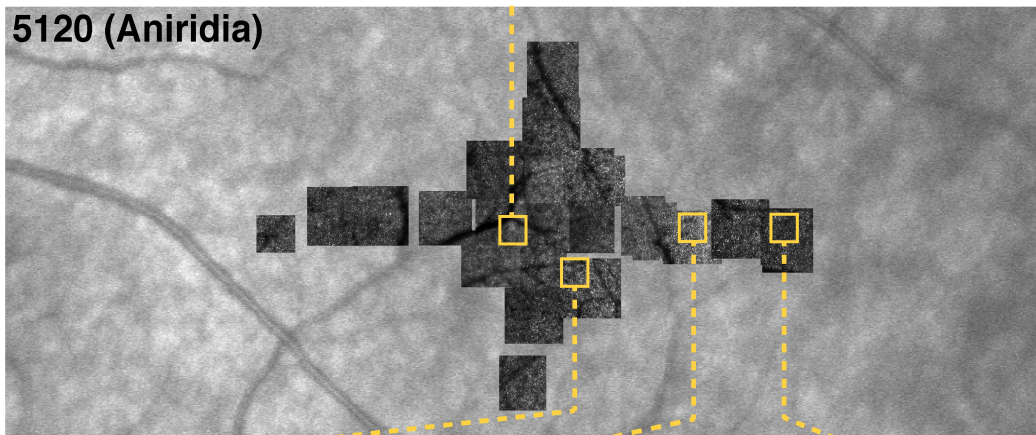
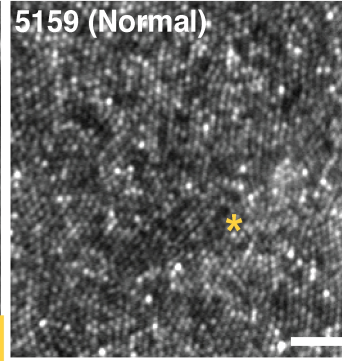
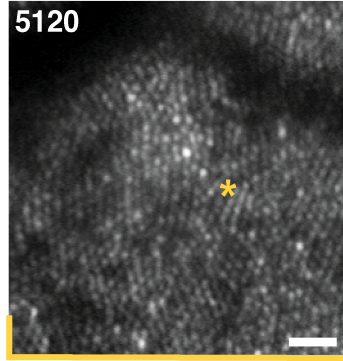
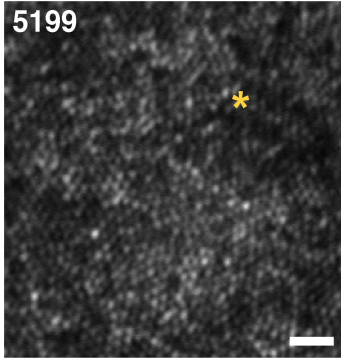
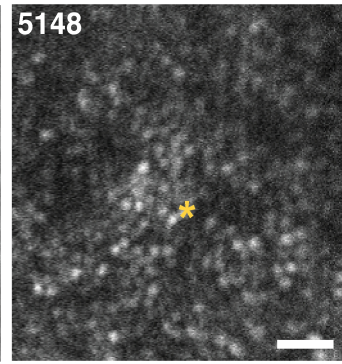
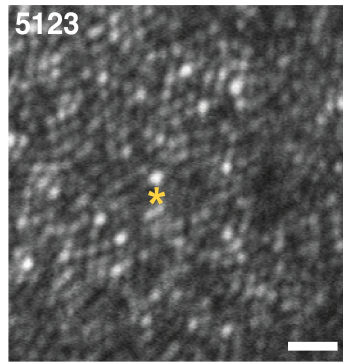
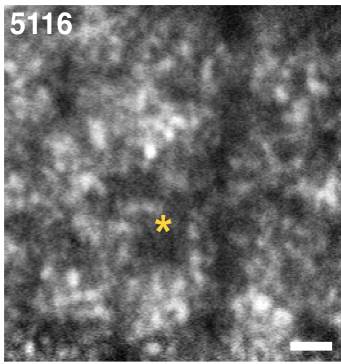
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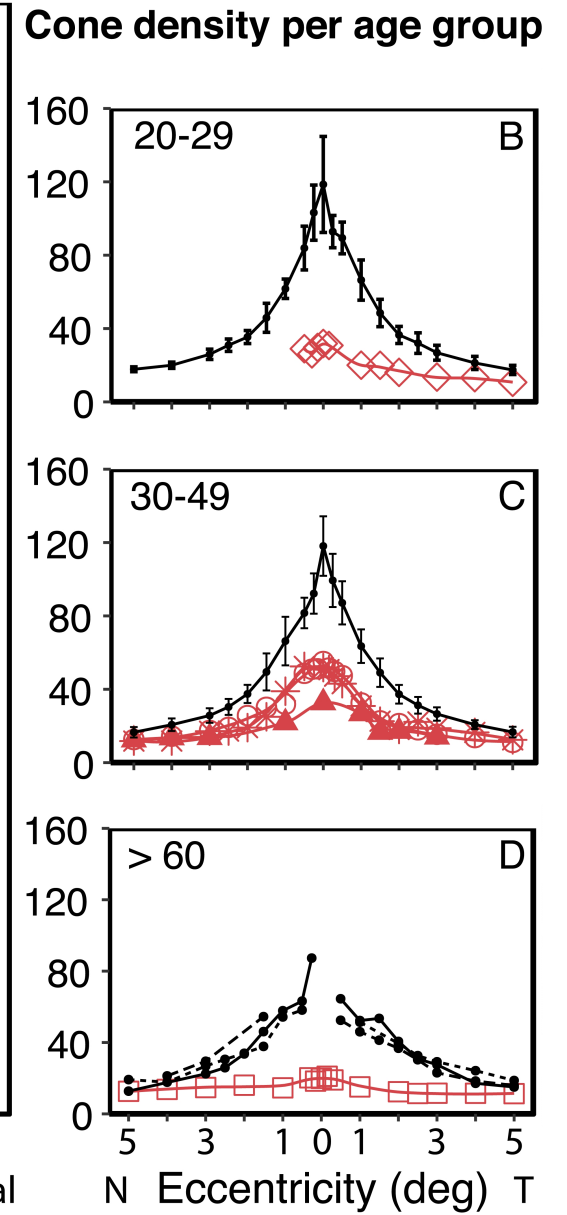
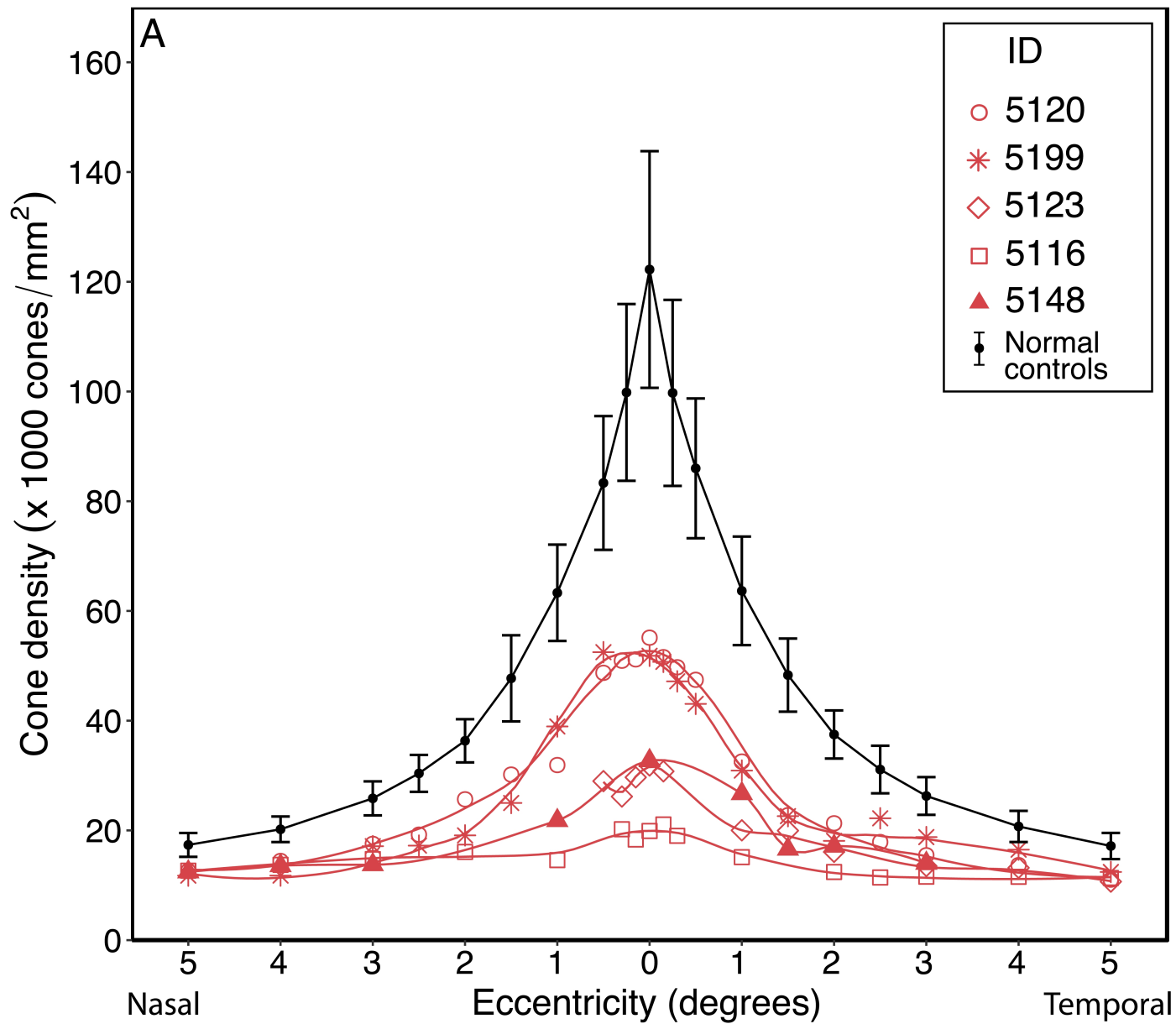
High-resolution *in-vivo* retinal imaging revealed decreased number of cones within the macular area in aniridia, but considerable between-individual variability in foveal development in family members carrying the same genetic *PAX6* mutation.

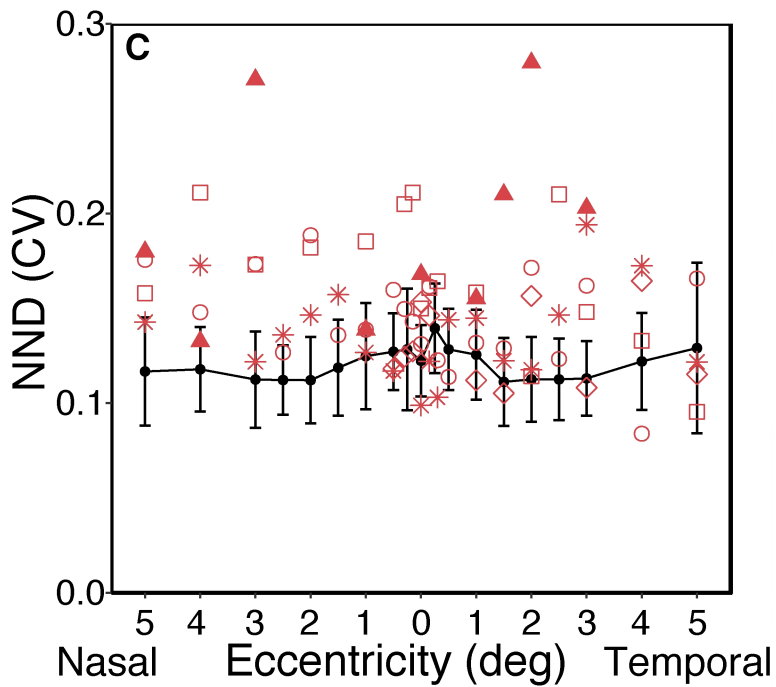
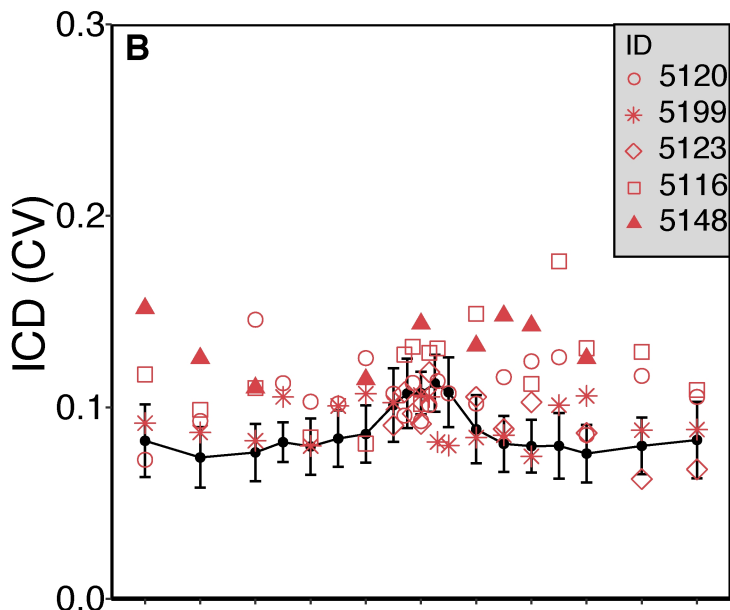
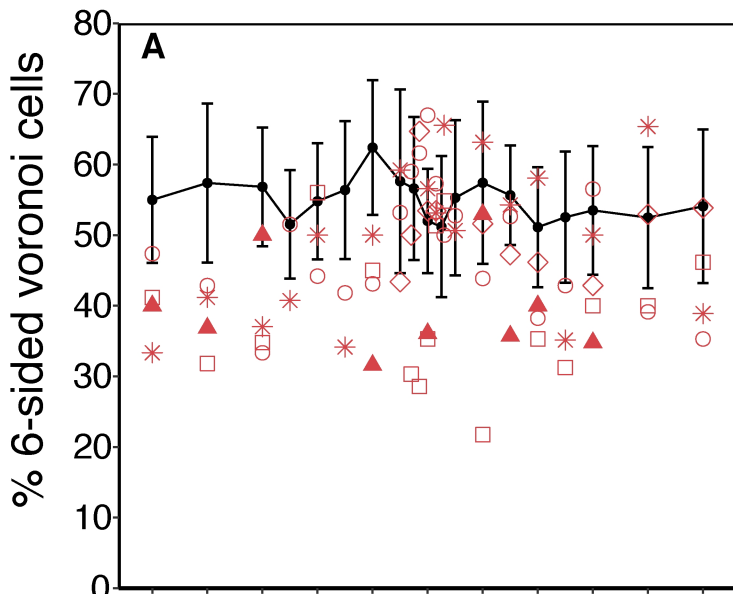












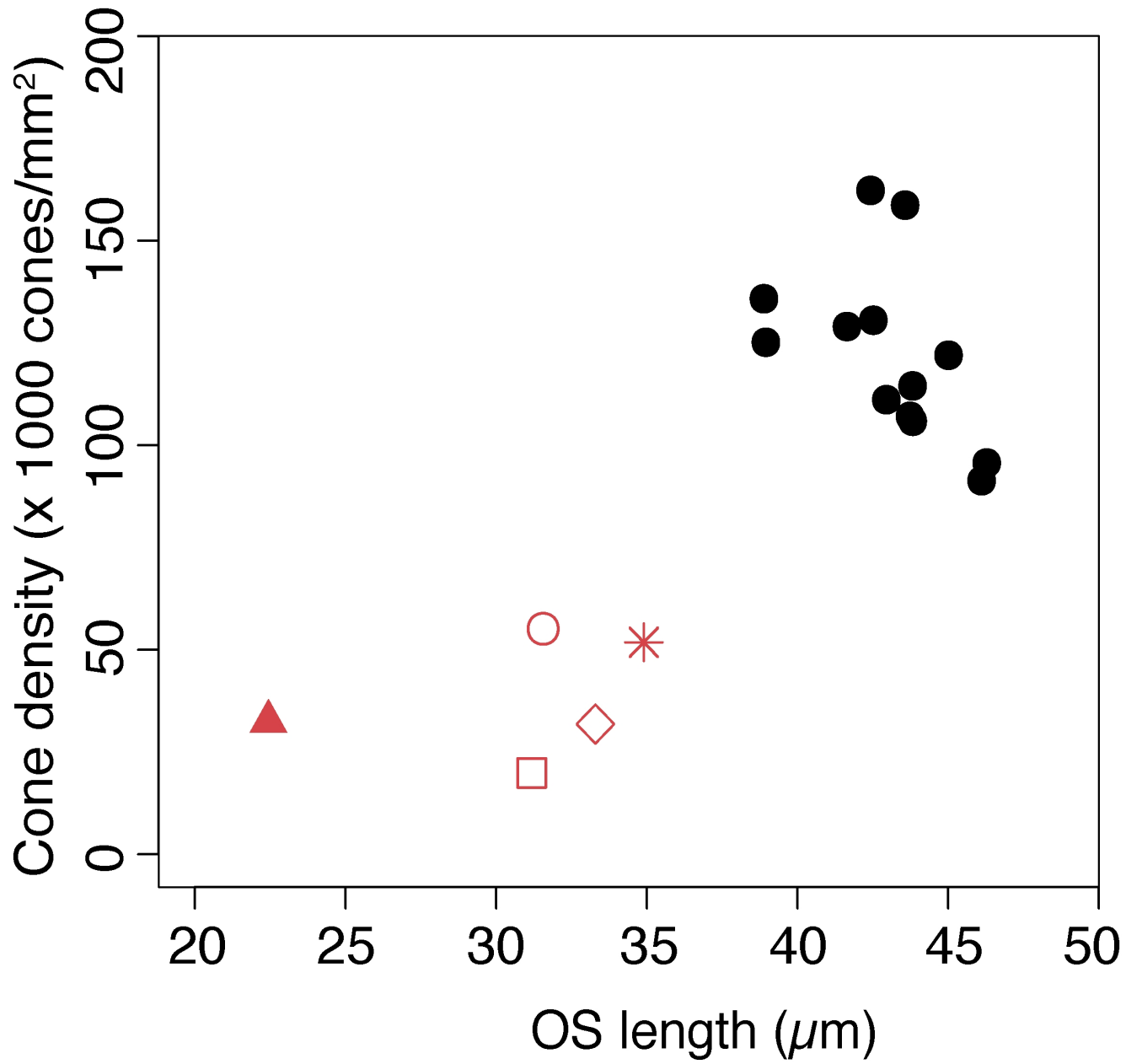


Table 1: Summary of clinical phenotype in the family members with a *PAX6* IV2-2delA mutation

ID	Age	Sex	Visual acuity [logMAR]	Axial length	Iris hypoplasia	Lens status	Nystagmus	AAK ^a grade	Glaucoma	Optic nerve hypoplasia	Foveal hypoplasia grade	CAD-LV ^b RG ^c Threshold ^d	Foveal cone density [cones/mm ²]	Symbol
5120	42	M	0.22	23.80	Thin rim of iris	N2, C1, P1	No	1	No	No	2	50	55128	○
5199	40	M	0.20	25.55	Bright iris, eccentric pupil	N0, C2, P0	No	1	No	No	2	78	51826	*
5123	24	M	0.50	22.72	Almost complete	N1, C3, P1	No	2	No	No	2	118	31837	◇
5116	66	M	0.40	25.66	Thin rim of iris	Pseudo-phakic	No	2	No	No	2	116	19899	□
5114	56	F	0.86	23.97	Complete	Aphakic	Yes	1	Yes	Yes	3	434	NA	●
5135	40	F	0.70	24.05	Complete	N2, C4, P3	Yes	1	Yes	Yes	3	123	NA	■
5148	49	F	0.60	21.04	Almost complete	Pseudo-phakic	No	2	Yes	No	4	192	32713	▲

^aAAK = Aniridia-associated keratopathy; ^bCAD-LV = low vision version of the Color Assessment and Diagnosis test; ^cRG = red-green; ^dValues derived from data collected by Pedersen *et al.*¹⁴

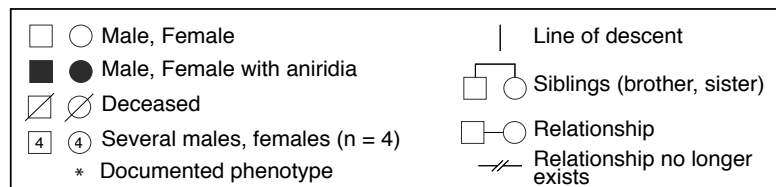
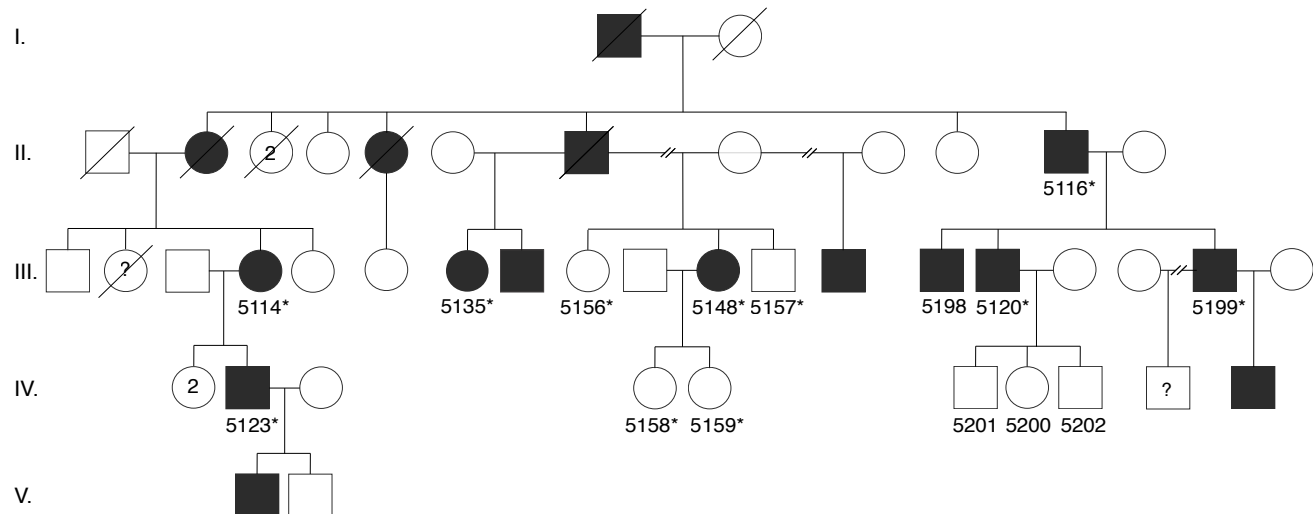


Figure S1: Pedigree of the family with a *PAX6* mutation showing an autosomal dominant inheritance pattern. The genotype was analyzed for 15 family members, indicated by their study ID number. The phenotype was documented for family members marked with study ID number followed by *. Written informed consent to publish the pedigree have been obtained from all the study participants and/or their guardians.