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A Review of Eutectic Au-Ge Solder Joints



ANDREAS LARSSON, TORLEIF A. TOLLEFSEN, OLE MARTIN LØVVIK, and KNUT E. AASMUNDTVEIT

Gold-germanium (Au-Ge) joints have been part of the electronics industry since the birth of the solid state transistor. Today they find their role as a reliable joining technology, especially for high-temperature applications. This article is a literature study reviewing Au-Ge joints: Their uses, properties, material compatibility, application techniques, and performance characteristics. The review concludes that it is possible to create high-quality and very strong Au-Ge joints with a shear strength up to 150 MPa. They are stable and reliable, showing limited degradation after thousands of hours at high temperature and thousands of thermal cycles. Joints may be used in low-stress applications up to 300 $^{\circ}$ C.

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I. INTRODUCTION

21 **RESEARCH** on semiconductor materials and 22 devices in the 1940s was primarily made on the 23 semiconducting materials germanium (Ge) and silicon (Si). Gold was deposited onto germanium to solve a 24 25 contact issue with an early prototype amplifier device 26 (point contact transistor) by a research team led by 27 William Bradford Shockley Jr. and Stanley Morgan at Bell Labs in 1947.^[1] This device led the research team to 28 29 the discovery of the transistor effect. William Bradford 30 Shockley, John Bardeen, and Walter Houser Brattain 31 were awarded the Nobel prize in physics in 1956 for their 32 work on the transistor and the discovery of the transistor effect.^[2] Thus, the Au-Ge contact was a 33 34 central part of the birth of modern electronics. The first 35 types of semiconducting transistors that became com-36 mercially available in the 1950s were also made from germanium. The more stable silicon replaced germa-37 38 nium in the 1960s, which changed the role of Au-Ge 39 from providing a contact surface for interconnects (wire bonds) to a die-attach material joining dies to sub-40 41 strates. Eutectic Au-Ge bonding as a die-attach method was patented already in the early 1960s.^[3,4] In the 1970s 42 43 and 1980s, research on Au-Ge bonding focused more

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towards ohmic contacts for gallium arsenide devices.^[5–7] 44 In the 1990s and especially post 2000, the high-temper-45 ature compatibility of Au-Ge joints has been thoroughly 46 explored.^[8-22] Environmental demands such as the 47 RoHS directive have also lead to investigations on the 48 Au-Ge system as a replacement for lead-based sol-49 ders.^[23,24] The high material cost has most likely limited 50 its applicability into volume mainstream, low-end elec-51 tronics devices. 52

53 The great majority of electronic devices and uses 54 comprising Au-Ge joining technology has been explored with the utilization of a eutectic (or near eutectic) 55 composition of the Au-Ge system. These alloys are 56 today commonly used as a high-reliability, high-tem-57 perature compatible die-attach technology. The main 58 motivation for this seems to be its high melting temperature at $361 \, {}^{\circ}C^{[25]}$ and the stable properties, 59 60 corrosion, and thermal fatigue resistance, combined 61 with excellent joint strength of the final joint. Typically, 62 high-temperature applications with Au-Ge have aimed for use up to around 300 °C.^[8,9,11,12,17,19,20,22,26–33] But, 63 64 joints have also been explored for cryogenic tempera-tures down to around -170 °C.^[15,26,32,33] Au-Ge joints 65 66 have been evaluated as materials for die-attach purposes 67 in numerous devices and configurations and various 68 other uses in recent years. Applications include silicon 69 carbide (SiC) power devices,^[10,19,28,34-36] SiC diodes,^[10,18–20,26,29,32,33,35] SiC dummy dies,^[15,30,31] Si dummy dies,^[8,9,11,12,17,22] microwave circuit,^[27] MEMS device,^[37] for wafer bonding,^[37] creating nanowires,^[38] forming ohmic contacts,^[6,39] and hermetic seals^[40,41] to 70 71 72 73 74 mention some. Ceramic substrates (circuit boards) have 75 most commonly been the substrate of choice in the 76 evaluated systems. In particular, substrates of silicon nitride $(Si_3N_4)^{[12,15,17,20,30,31,34-36]}$ or alumina $(Al_2O_3)^{[10-12,18,27,28]}$ have been used. Other ceramic substrates include $AlN^{[15,19]}$ and $BeO.^{[26,33]}$ Both 77 78 79 80

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81 Cu^[16,22] and Kovar^[27] have also been used as substrates 82 (or lead frames).

83 II. MATERIAL PROPERTIES

84 Most materials properties of the Au-Ge system have 85 been evaluated for the eutectic (or near eutectic) 86 composition; 72 at. pct Au and 28 at. pct Ge, as shown 87 in the phase diagram of Figure 1. All data presented in this review are based on this composition unless 88 89 otherwise specified. The properties of pure Au and Ge 90 have been thoroughly reported elsewhere and are not 91 part of the scope for this report. As shown from the 92 phase diagram of the Au-Ge system in Figure 1, only 93 three stable condensed phases exist; the solids 94 fcc A1 (Au) and diamond A4 (Ge), and a liquid (L).^[42] However, it should be mentioned that Tasci et al. have reported the existence of a stable stoichiometric phase, Au₅Ge₂, at lower temperatures^[43] and Maganin et al. identified a stable Au₃Ge phase after deposition of a Au film onto a Ge substrate.^[44] These phases will not be discussed further in this review. The solubility of Ge in Au is up to 3.08 at. pct at the eutectic

temperature, 361 °C, and less than 1 at. pct at room 102 temperature.^[25] The solubility of Au in Ge is negligi-103 ble.^[25] The chemical bonds between Au and Ge in 104 eutectic Au₇₂Ge₂₈ have been identified to be cova-105 lent.^[44–46] Eichhammer *et al.* illustrated the possibility to 106 significantly reduce the solidus intersecting the eutectic 107 point by using nanosized particles of 5 and 10 nm.^[47] 108 Kryshtal et al. showed that for a bilayer of Au/Ge, the 109 Au film mass thickness needs to be larger than 0.2 nm 110 for a eutectic compound to form on the surface.^[48] Near 111 eutectic compositions have an irregular lamellar type microstructure, see Figure 2(a).^[8,9,12,15,16,19,20,23,27,49] 112 113 Another common microstructure found in literature is 114 colonies of Ge dispersed in a Au matrix,^[8,9] see 115 Figure 2(b). This second microstructure is especially 116 common after thermal aging which typically coarsens the grain structure.^[8,9,16,19,20] In contrast, Chidambaram 117 118 *et al.* found that the microstructure of eutectic $Au_{72}Ge_{28}$ 119 was refined after aging at 200 °C for three weeks.^[23] No 120 further explanation to this rather contradictory result 121 was given. One explanation could be variations in 122 cooling rates during fabrication which could cause 123 different lamellar spacing.^[50] Similarly, coarsened 124

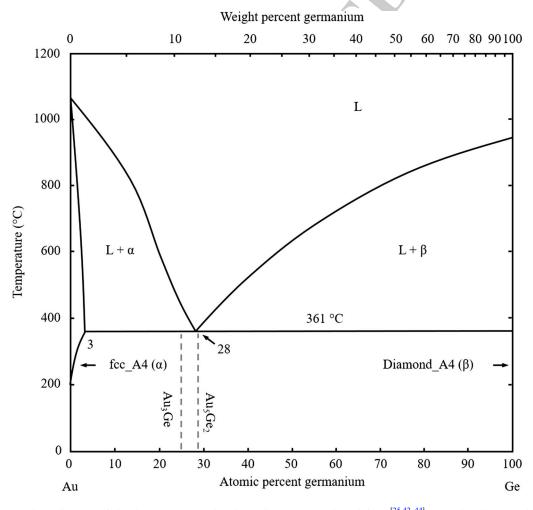


Fig. 1—The binary phase diagram of the Au-Ge system. The phase diagram was adapted from.^[25,42–44] Note that the eutectic melting point varies slightly in the pertinent literature; 356 °C to 361 °C.^[42,51]

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125 microstructures can also be found in samples with adjoining depletion layers, such as nickel (Ni).^[9,16,19,20] 126 Typical static mechanical and thermal properties 127 128 found in literature are compiled in Table I. Values vary 129 somewhat between sources; thus, a range is provided 130 when appropriate. Discrepancies between the references 131 may originate from different setups during measure-132 ment, purity of the samples, the morphology of the 133 different phases, heat treatment profiles, etc.

134 III. MATERIAL COMPATIBILITY

135 Material compatibility is crucial for any packaging 136 system. Joining dissimilar materials creates new phases, 137 e.g., intermetallic compounds (IMC), and interfaces 138 between these different phases. They have dissimilar 139 properties, such as their coefficient of thermal expansion 140 and Young's modulus, which may cause high-stress 141 states in fabricated joints. Adjoined materials need to be 142 chemically stable and provide suitable mechanical, ther-143 mal, and electrical performance for proper functionality 144 and reliability. The final microstructure and

composition may also depend on a wide variety of 145 parameters such as how the fabrication process was 146 carried out, e.g., the deposition method used for the 147 metallization on dies and substrates, and temperature 148 149 profiles. Thus, care should be taken before concluding on apparently similar systems. Further comparison 150 between different systems from different studies is even 151 more troublesome. This section presents general trends 152 and results extracted from the pertinent literature. 153 Juxtaposed materials systems evaluated in literature 154 155 are compiled below.

A. Nickel/Gold (ENIG)

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Most commonly, eutectic Au-Ge have been used in 157 systems with a metallization scheme based on the 158 electroless nickel immersion gold (ENIG) system.^[8,9,11,12,15,19,20,22,26–28,31,33,35,36,49] In such systems, 159 160 Au-Ge typically reacts with Ni and forms Ge-Ni 161 intermetallic compounds (IMC). Lang et al. and 162 Egelkraut et al. reported the intermetallic phase to be 163 near the stoichiometric phases NiGe and Ni2Ge.[19,20] 164 Chidambaram et al. reported a Ni₅Ge phase along with 165

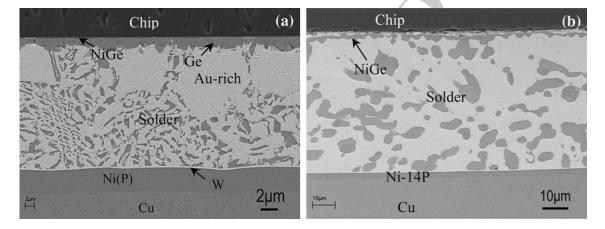


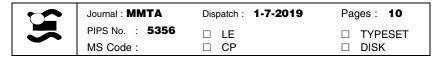
Fig. 2—Scanning electron microscope (SEM) image of cross-sections illustrating the microstructure of (*a*); a virgin joint and (*b*); a joint after heat treatment at 330 °C for 1000 h.^[20] A coarsening of the microstructure is seen after thermal aging. Reprinted with permission.

Table I. Properties of Eutectic Au₇₂Ge₂₈

Property	Unit	Value	Source
Eutectic Composition	at. pct Ge	27.0 to 29.4	[25, 42]
Melting Point	°C	356 to 361 ^a	[25, 42, 47]
Young's Modulus	GPa	50 to 75 ^b	[18, 21, 52]
Poisson's Ratio		0.32	[21]
Yield Strength	MPa	100 to 240 ^b	[18, 52]
Shear Strength	MPa	220	[53]
Ultimate Strength	MPa	175 to 185 ^b	[52]
Elongation at Break	pct	10 to 50 ^b	[18]
Hardness	GPa	3.6	[8]
Coefficient of Thermal Expansion (CTE)	ppm/K	10.2 to 16.5 ^b	[52]
Thermal Conductivity	W/mK	44 to 44.4	[15, 52]
Electrical resistivity	$\mu \dot{\Omega}$ cm	15 to 29	[32, 53]
Activation Energy—Creep	kJ/mol	11	[15]
Heat of Crystallization (a Ge)	J/mol	1.15	[54]

^bTemperature dependent.

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the NiGe phase. The growth rate of Ni₂Ge was 166 measured to be up to a few nanometers per hour at 200 °C to 250 °C.^[16] Godignon *et al.* evaluated the 167 168 169 electrical characteristics of a SiC diode joined with an ENIG-like system and found it to be stable at temper-170 atures between - 170 °C and 270 °C.^[26,33] They also 171 found that the thermal resistance from junction to case 172 173 increased as a function of ambient temperature with 174 about 65 pct (0.6 K/W). The temperature dependence of 175 the joint strength was reported to show a nearly linear decrease from about 115 MPa down to zero at the 176 melting point of eutectic Au-Ge.^[15] Stress tests may be 177 found in References 8, 9, 19, 20, 26, 31, 33, 35, and 36. 178 179 The phase diagram of eutectic Au-Ge with Ni can be found in Reference 27. 180

B. Copper

Author Proof When Au-Ge is bonded directly to Cu, the components react and create new intermetallic compounds comprising Au-Cu-Ge.^[8,9,16] Egelkraut *et al.* identified it to be $(Au,Cu)_5$ Ge (the ξ phase) with varying Au-Cu compositions.^[16] They further measured the growth rate to be about 5 to 10 nm per hour at 200 °C to 250 °C. They also compared the results between Ni and Cu 188 189 metallization and found that Cu could create stronger 190 and more stable bonds than with the Ni metallization. 191 Between the IMC and the Cu layer, they further 192 observed a Au-Cu solid solution. The results indicate 193 an interdiffusion process, or material transport, of Au 194 and Cu through the joint, and that Ge does not interact 195 significantly with the Cu. The bond seems to change its 196 properties initially to finally stabilize at a new level when exposed to high temperatures.^[8,9] The IMC formation 197 likely causes the change. Related stress tests can be 198 199 found in References 8, 9, 18, and 21.

200 C. Silver

201 Lang et al. studied asymmetric systems with Ni/Ag thin film on one side of the joint.^[19,20] In one study, they 202 203 identified the fracture surface to the side of the joint 204 were Ni-Ge IMC were present. In another similar study, 205 they later showed that the fracture surface could be 206 moved to the device/joint interface by using tungsten 207 (W) as a diffusion barrier between Ge and Ni. Tanimoto 208 et al. showed that Ag in a thin film of Ni/Ag was completely dissolved by the adjacent Au-Ge material.^[31] 209 210 Both Egelkraut et al. and Drevin-Bazin et al. used devices with Ag films, but no joint/Ag film-related results were reported.^[15,16] Related stress test may be 211 212 found in References 8, 9, 18, and 21. 213

214 D. Other Systems

215 A variety of materials systems combined with Au-Ge have been evaluated in the pertinent literature, including Al,^[34] Ti/Ti-W,^[17] W,^[20,32] and glass.^[17] Lang *et al.* 216 217 218 showed that it was possible to bond to an Al metaliza-219 tion creating strong joints, > 50 MPa, and with stable electrical performance results.^[34] Long-term eval-220 221 uation or other stress tests of that system was not performed, and one might suspect that the Al-Au-Ge 222 system may form the well-known problematic Al-Au 223 IMCs such as Al₂Au (purple plague) and Al₂Au₅ (white 224 plague).^[55] 225

IV. APPLICATION TECHNIQUES/PROCESS 226

Au-Ge joints have typically been created using var-227 ious methods that are similar to common soldering 228 techniques. Eutectic preforms have been used fre-quently.^[11,12,15-18,27,28,31,35] Thin film techniques by 229 230 deposition and patterning have also been evaluated,^[37] along with solder balls^[8,9] and pastes.^[34] Bonding has 231 232 been performed in a vacuum, inert atmospheres, reduc-233 234 ing atmosphere, flux, and air.

Recent process parameters that have been used in 235 literature are compiled in Table II. Avoiding atmo-236 spheric oxygen seems crucial to be able to create strong 237 238 uniform joints. Cleanliness of bond surfaces also has a strong impact on the bond quality. Regarding the 239 process peak temperature and time, it seems to be 240 enough to generate a liquid phase (eutectic) that has 241 enough time (seconds) to interdiffuse with the adjacent 242 243 bond surfaces to create strong bonds. Longer times or higher temperatures affect the resulting microstructure 244 somewhat, but do not seem to have a direct impact on 245 the final bond quality. It has been demonstrated that it is 246 possible to create uniform joints with excellent coverage 247 and without significant voiding or microcracks inside the joint.^[11,12] 248 249

PERFORMANCE V

A. Shear Strength

250 251

Shear strength tests are one of the most widely used 252 methods in literature for evaluating the bond quality. 253 Mechanical strength is often a more revealing measure 254 for bond quality than changes in electrical characteris-255 tics of devices. Tanimoto and Matsui illustrated this 256 effect clearly in Reference 35. They measured a reduc-257 tion in joint shear strength of several tens of percent 258 while the leakage current remained unchanged when 259 exposed to thermal cycling. A compilation of the shear 260 strength for virgin joints is presented in Figure 3. 261 Whenever possible, individual data points have been 262 extracted from the references. Extracted test results have 263 been assumed to have been carried out at 25 °C 264 whenever no specific information has been reported. 265 Shear test parameters are rarely disclosed in literature 266 and are thusly not compiled here. It is clear that the final 267 joint strength varies greatly, with a factor of more than 268 ten times, between reported devices (see results at 269 25 °C). The variation may originate from sample 270preparation or simply from different system configura-271 tions of the final assembly. Nonetheless, it is clear that it 272 is feasible to create very strong joints with eutectic 273 Au-Ge. It is also interesting to notice that the shear 274 strength at elevated temperatures, near the melting point 275 of the Au-Ge compound, is still significant. It should be 276

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Table II. Process Parameters Used to Fabricate Au-Ge Joints	Table II. Process Paran	aeters Used to	o Fabricate	Au-Ge Joints
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Peak Temperature (°C)	Preheat Temperature (°C)	Time at Peak Temperature (s)	Pressure	Atmosphere	Shear Strength (MPa)	Source
430					115	[15]
400		10		air	> 8	[28]
390		15		flux in air	22 to 34	[<mark>8,9</mark>]
_		_		N ₂ AND VACUUM		[21]
> 363		300	2.1 MPa	vacuum or N_2		[37]
430		120		vacuum	50 to 116	[34]
385		120 to 180		vacuum	26 to 52	[17]
410		_		vacuum	64 to 72	[20]
400	200	quick		red/vacuum/N ₂	128	[31]
_			> 0	red/vacuum		[26]
_		_		red/vacuum/N ₂	25	[16]
450	300/330	1800	> 0	red	78	[12]
				red	240	[18]
Red: Reducing atm	osphere (formic acid or H	H ₂).				

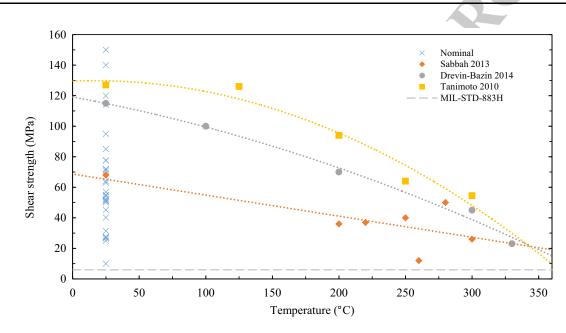


Fig. 3—Shear strength of virgin eutectic Au-Ge joints as a function of temperature for various devices.^[8–12,15–17,19,20,22,28,31,34–36,49] Various samples tested at room temperature are compiled in the 'Nominal' series. Dashed lines are curve fits for each series. The strength requirement for solders, as stated in the US military standard (method 2019.8), is included here for Ref. [56].

277 pointed out that the reported strength results mirror the 278 weakest link in the tested system, not necessarily the

279 Au-Ge joint itself. Shear strength at cryogenic temper-

atures is yet to be evaluated.

281 B. Electrical Evaluation

282 Another way to characterize the bond quality is by 283 electrical evaluation. Different devices have been electrically evaluated at temperatures from - 170 °C up to 284 $330 \circ C$ ^[10,19,29,32,35] The characteristics are typically 285 286 evaluated on a system level where the entire assembly 287 influences the results: the die, die-attach, wire bonds, etc. 288 Waveforms, current, voltage, and characteristic drift 289 have also been evaluated. The reported results typically 290 showed a limited degradation of the electrical perfor-291 mance, and that this degradation could be directly accredited to the joint itself. The thermal performance 292 has been evaluated at similar temperatures.^[26] It was 293 found that the thermal resistance from junction to case 294 increased from 0.92 to 1.53 K/W. 295

C. Stress Testing

297 Stressing joints by exposing them to different loads is a common way to evaluate their performance and 298 299 stability. Common techniques to stress devices include thermal storage at elevated temperatures, thermal 300 cycling, and power loading. Different techniques stress 301 302 different parameters or mechanisms, e.g., thermal stor-303 age typically accelerates diffusion processes, thermal cycling stimulates material fatigue, and power loads 304 excite migration mechanisms. 305

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306 In general, literature demonstrates a joint that is very 307 robust if given a proper design and applied within 308 certain load conditions. It can withstand very high 309 temperatures, near the melting point, as well as survive 310 wide thermal cycles. Electrical characterization results 311 also indicate a limited migration degradation when 312 stressed.

313 One interesting observation from this review comes 314 from an analysis of the combined results of Tanimoto *et al.*^[35] Drevin-Bazin *et al.*^[15] and Msolli *et al.*^[21] 315 Together, they point that the strength capacity of 316 eutectic Au-Ge joints significantly depends on the 317 mechanical load state. Drevin-Bazin et al. and Msolli 318 319 et al. showed this by studying the creep behavior. 320 Tanimoto et al. indirectly showed that fatigue failures 321 were reduced by improving their system for CTE 322 mismatch, thus reducing the stress state in the joint during cycling. Note that this change might have shifted Proof the cycling characteristics, or failure mechanism, 5 between low cycle fatigue (LCF) and high cycle fatigue Author (HCF). A detailed analysis of the joints would reveal 6 this.

328 D. Thermal Storage

329 Figure 4 shows compiled thermal storage results. 330 There is a widespread between reported results. A 331 common denominator seems to be that proper diffusion barriers between adjoined materials are key for strong 332 333 and stable joints. Results from Tanimoto et al. (250 °C 334 series at the top) show very strong joints with no 335 significant sign of degradation concerning high-temperature exposure.^[35] In an earlier report on a similar 336 337 system, they reported significant degradation of the joint 338 strength which may be accredited to poor initial joint quality.^[31] Other groups have shown similarly 339 stable trends for temperatures near the melting point 340

of eutectic Au-Ge.^[11,17,20] Again, analyzing the results 341 from devices stored at 250 °C (top and bottom series in 342 Figure 4)^[16,35] clearly illustrates how differently a joint 343 can behave in two different systems. The shear strength 344 differs by a factor of 10 between the two systems, even 345 though utilizing the same bonding technology. The 346 shear strength at 250 °C is reduced by about 30 pct after 347 500 hours at 300 °C.^[9] 348

Among others, Godignon et al. have shown 349 stable electrical properties in their assembled SiC 350 diodes,^[26,29,33] which indicate stable joint properties. 351 Degradation of electrical properties may typically not be 352 explicitly attributed to the joint. Note that joints 353 evaluated in non-optimized systems, e.g., with improper 354 diffusion barriers,^[29] show significant changes in electri-355 cal properties. Electrical properties in various evaluated 356 systems may also be found in References 19 and 20. 357

E. Thermal Cycling

Au-Ge joints have been thermally cycled in a wide 359 variety of temperature ranges, from cryogenic temperatures down to $-170 \,^{\circ}C^{[26,29,32,33]}$ and up to temperatures as high as 325 $\,^{\circ}C$.^[17] Cycling rates have varied 362 between a few $\,^{\circ}C/\min^{[10]}$ and 40 $\,^{\circ}C/\min^{[12,22,26,29,32,33]}$ 363 with varying dwell times. 364

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Tanimoto et al. showed initially that performance 365 degradation was significant due to thermal cycling by observing the joint strength evolution.^[31,36] The degra-366 367 dation mechanism was coupled to an oxidation process 368 in cavities inside the bond. They later showed that by 369 optimizing the process with rigorous control of surface 370 cleanliness and optimizing the system CTE mismatch, 371 they could improve joint quality and both overall shear 372 strength and cycling performance significantly.^[35] God-373 ignon *et al.* indicated excellent thermal cycling perfor-mance.^[26,32,33] They inspected joints after exposure to 374 375

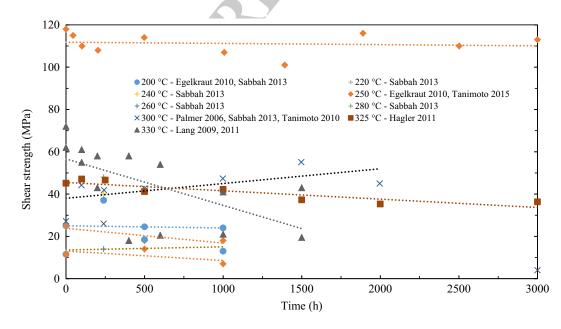


Fig. 4—Shear strength of eutectic Au-Ge joints in various devices after thermal storage between 200 °C and 330 °C.^[8,9,11,12,16,17,19,20,28,31,35] Dashed lines are curve fits for each series.

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376 thousands of extended thermal cycles with a scanning 377 acoustic microscopy (SAM) technique, but no strength evaluation was performed. In another study, it was 378 379 found that Au-Ge had limited thermal cycling capability which was ascribed partly due to the joint stiffness.^[22] 380 381 Zheng et al. tested a large joint (56.25 mm²) and found 382 that it has a shear strength of more than 17 MPa after 2000 cycles between 40 °C and 325 °C, at \pm 10 °C/min with a 5-min dwell time.^[14] Compiling these results, one 383 384 may conclude that Au-Ge joints' thermal cycling capac-385 386 ity can be very good. This assumes that the temperature 387 itself is not too close to the melting point, T_m, (at homologous temperatures exceeding 0.9 $T_{\rm m})$ and that 388 389 the mechanical stress state inside the joint is not very 390 high. A compilation of thermal cycling results found in 391 literature is presented in Figure 5.

392 Hutzler et al. used power cycles to thermally cycle 393 devices. They applied 15 to 18 A in short cycles that 394 created a temperature increase of the joint of 130 °C. 395 The maximum temperature in the joint was between 155 °C and 250 °C depending on the test scheme.^[18] 396 397 Their system survived up to hundreds of thousands of 398 such cycles before the die-attach failed. Tanimoto et al. 399 power-cycled diodes between 35 °C and 200 °C and 400 found no strength degradation after tens of thousands of cycles.^[35] Thermally cycled devices (diodes), up to 401 402 4000 cycles between - 170 °C and 270 °C, have been characterized electrically without any significant degradation.^[26,29,32,33] 403 404

405 F. Electrical Load

406 Various electrical loadings have been used to stress 407 devices assembled with Au-Ge joints. In a series of 408 reports, Godignon *et al.* have characterized SiC diodes 409 under various electrical loads combined with tempera-410 ture loads.^[26,29,32,33] The diodes were biased at 5 A 411 between 260 °C and 330 °C for up to 2000 hours and no electrical degradation were found. They further investigated their devices biased at -300 V and at 270 °C and 413 for 500 hours, again without any significant degradation. In the earlier reports, they observed drift behavior, 415 but that was accredited to the Schottky barrier. 416 Exchanging the barrier from Ni to W solved the 417 issue.^[29] The results indicate good-quality joints. 418

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G. Mechanical Load

Shear-loaded samples were prepared and tested by 420 Msolli et al. for strain rates in the order of magnitude 421 μ m/s, and mechanical loads of a few tens of MPa at 422 temperatures up to 300 °C.^[21] They found that the joints 423 were fairly stable with limited dependence on displace-424 ment and load rates. The joints showed a secondary 425 creep rate of about 3 μ m/hour when a 16.7 MPa load 426 was applied at 200 °C. At 300 °C and 16.7 MPa, 427 measurements showed tertiary behavior after a few 428 hours. Results also indicated a kinematic hardening of 429 the material. Similar experiments were performed by Drevin-Bazin *et al.*^[15] Unlike Msolli *et al.* they did not 430 431 find a secondary stage. The joint showed a clear tertiary 432 stage for high stress. In general, they found that the 433 creep is thermally activated and stress-dependent. 434

VI. COMPARISON WITH OTHER TECHNOLOGIES

Egelkraut et al. showed that Au-Ge joints had better 437 aging characteristics than lead-rich Pb-Sn joints.^[16] 438 Navarro et al. showed that Pb-Sn-Ag joints have a 439 better thermal cycling capacity than Au-Ge joints.^[22] 440 Nevertheless, the quality of the produced Au-Ge joints 441 varied greatly. Studies have compared Au-Ge joints with 442 other binary Au-based alloys. It has been shown that 443 Au-Sn alloys are more corrosion-resistant than Au-Ge 444

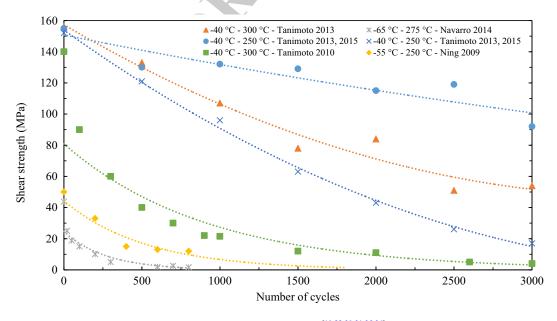


Fig. 5—Shear strength as a function of thermal cycles for various cycling regimes.^[10,22,30,31,35,36] Dashed lines are curve fits for each series.

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Process	Advantages	Disadvantages
Eutectic Au-Ge Soldering	simple and fast soldering type process scheme low bond line pressure easily repaired by desoldering tolerates rough and irregular bond surfaces self-aligning fluxless thermally stable at very high homologous tempera- tures (0.9 $T_{\rm H}$) excellent joint quality without significant voiding	high process temperature operation temperature limited by eutectic melting point, $T_{\rm O} < T_{\rm P}$ oxygen-free atmosphere required during fabrication expensive materials no paste commercially available
TLP/SLID	very strong joint quarty without significant volding high thermal joint stability ($T_{\rm O} > T_{\rm P}$) relatively low bond line pressure (0.2 to 5 MPa) tolerates some surface roughness tolerable to faying surface oxide fluxless similar material properties as the base material in bond surfaces	requires flat and well-aligned bond surfaces time consuming (hours to days) lack of reparability formation of thick IMCs which reduces strength an ductility expensive materials
Ag Sintering	good joint quality very strong joints (up to 150 to 200 MPa) monometallic joints thermally stable joints (typically, $T_{\rm O} = {\rm low} T_{\rm H}$) excellent electrical and thermal material properties	high process temperature high bond line pressure (up to tens of MPa) somewhat time consuming (approx. 30 to 60 min) poor joint quality-porosity (approx. 20 pct) Ag migration relatively low joint strength (approx. 20 to 30 MPa

Table III. Comparison Between Three High-Temperature Compatible Die-Attach Technologies; Eutectic Au-Ge, TLP/SLID, and Ag Sintering

 $T_{\rm P}$: process temperature, $T_{\rm O}$: operation temperature, $T_{\rm H}$: Homologous temperature, *IMC*: intermetallic compound.

alloys.^[57] Lang et al. bonded Au-Ge and Au-Sn to Au 445 446 stud bumps on Al pads and found mechanical and electrical characteristics of the produced joints to be 447 similar.^[34] Chidambaram et al. compared Au-Ge and 448 449 Au-Si joints and found that the mechanical properties were similar.^[8,9] Aging tests showed that the shear 450 strength of the Au-Ge joints were about twice as strong 451 452 as similar Au-Si joints at room temperature and at 250 °C. Au-Ge joints have also been compared with Au-In joints.^[15] The Au-In joints showed better creep 453 454 455 behavior and higher strength at elevated temperatures as 456 they did not degrade as fast as Au-Ge. Nevertheless, at 457 300 °C, the two joints showed similar strength capacity 458 since the initial strength of the Au-Ge joints was higher. 459 Au-In (with roughly 30 to 67 at. pct In) and eutectic 460 Au-Ge melt at between 450 °C and 500 °C and 360 °C, respectively. Thus, at 300 °C, the homologous temper-461 462 ature for the two tested joints are 0.75 and 0.90, 463 respectively. The homologous temperature is the applied 464 temperature divided by the melting temperature, measured in Kelvin. It should also be noted here that the 465 466 Au-In preforms and Au-Ge preforms used in that study 467 had different thicknesses.

468 A. High-Temperature Die-Attach Technologies

469 Since eutectic Au-Ge joints are commonly used in 470 high-temperature high-reliability applications, it is inter-471 esting to compare it with other high-temperature com-472 patible die-attach technologies such as transient liquid 473 phase (TLP) or solid–liquid interdiffusion (SLID) bonding,^[15,58–68] and silver sintering.^[12,16,18,22,28,69,70] 474 Table III shows a comparison between eutectic Au-Ge, 475 TLP/SLID, and Ag sintering. 476

VII. RECOMMENDATIONS

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Based on this literature study and the authors' 478experience, the following recommendations can be made 479for eutectic Au₇₂Ge₂₈ joints: 480

- It is important to have a suitable diffusion barrier 481 between the joint and the adjoining layers to avoid 482 changes in the composition and microstructure of 483 the joint. Reaction with an adjoining Ni layer causes 484 Ge-Ni IMCs to form. These IMCs are stable at 485 486 high-temperature but seem to restrict the thermal cycling performance. Tungsten (W) is an effective 487 barrier between Ni and the joint. 488
- The CTE mismatch between joined components 489 should be minimized to avoid fatigue issues during 490 thermal cycling. Alternatively, a mechanical absorption layer, *e.g.*, Au, could be used to reduce the stress 492 state inside the joint. 493
- Fabrication should be performed in an oxygen-free 494 atmosphere. 495
- Joints should not be used at temperatures above 496 300 °C as the shear strength of eutectic joints drops 497 rapidly at very high homologous temperatures 498 $(T_{\rm H} \approx 0.9)$.

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Author Proof

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VIII. CONCLUSIONS

501 A compilation of literature shows that it is possible to 502 form high-quality joints using eutectic Au₇₂Ge₂₈. The 503 joints may have excellent thermomechanical properties 504 and are very stable at temperatures as high as 300 °C. 505 The shear strength may be up to 150 MPa at room 506 temperature and around 50 MPa at 300 °C. This 507 enables them for use in a wide variety of high-temper-508 ature applications. To create such high-quality joints, it 509 is crucial to design the systems so that the stress state 510 inside the joint is limited and that fabrication is done in 511 an oxygen-free atmosphere. The main disadvantages are 512 material cost and unavailability of a commercial eutectic 513 paste. Thus, eutectic $Au_{72}Ge_{28}$ is a suitable technology 514 for high-temperature, high-reliability, and high-end 515 applications.

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